

**From Germanium to Graphene:  
On the history of Condensed Matter Physics  
AIF - Pisa, February 2014**

**A survey of Solid State Physics from 20-th to 21-th century:  
a science that transformed the world around us**

G. Grosso

February 18, 2014



Some considerations on a framework from which to grasp aspects and programs of fundamental and technological research in Condensed Matter Physics (CMP): **a necessarily very incomplete account of condensed matter physics at the beginning of the 21th century.**

In the history of fundamental science, the area of Solid State Physics represents the **widest section of Physics** and provides an example of how Physics changes and what Physics can be.

In the 20-th century, research in Solid State Physics had enormous impact both in **basic** aspects as well in **technological** applications.

Advances in

- experimental techniques of measurements,
- control of materials structures,
- new theoretical concepts and numerical methods

have been and actually are at the heart of this evolution.

Solid State Physics is at the root of most technologies of today's world and is a most clear evidence of **how evolution of technology can be traced to fundamental physics discoveries.**

Just an example: Physics in **communication industry**.....

Eras of physics	Communications technology changes
<p style="text-align: center;"><b>Era of electromagnetism</b></p> <p>Electric currents<math>\leftrightarrow</math> Magnetic fields (Oersted 1820, Faraday and Henry 1825)            Electromagnetic eq.s (Maxwell 1864),            e.m. waves propagation (Hertz 1880)</p>	<p>First electromagnet (1825)            Telegraph systems (Cooke, Wheatstone, Morse 1837)            First transcontinental telegraph line (1861)            Telephone (Bell 1874-76)</p>
<p style="text-align: center;"><b>Era of the electron</b></p> <p>Discovery of the electron (Thomson, 1897)            Thermionic emission (Richardson 1901)            Wave nature of the electron (Davisson 1927)</p>	<p>Vacuum-tube diode (Fleming 1904).....            Wireless telegraph (Marconi 1896)            Low energy electron diffraction (LEED)            Radio astronomy (Jansky 1933)</p>
<p style="text-align: center;"><b>Era of quantum mechanics</b></p> <p>Bloch, Peierls, Brillouin (1920s),            Wilson (1931)            Wigner-Seitz (1933)</p>	<p>Kelly at Bell Labs. : Semiconductors for communications (1936). Si, Ge (Shockley Bardeen 1946). Birth of transistor (1947)            Digital transmission system (1962)            Integrated circuits (Kilby et al. 1958)</p>
<p style="text-align: center;"><b>Era of quantum optics</b></p> <p>Laser (Schawlow, Townes 1958), semic. laser (1962), quantum well laser (Dingle, Henry 1976), QCL laser (Capasso 1994)</p>	<p>Low loss silica fibers (1970)            .....            Nanotechnology for communications</p>

A step backward :

At the beginning of the 20th century properties of solid matter were investigated by almost **independent** disciplines. Only in the 1940s they converged into a **unifying** framework named “**Solid State Physics**”.

In the sixties it was named “**Condensed Matter Physics**” and included other matter phases.

In the 20th century the paramount evolution of condensed matter physics was testified by the number of **Nobel prize** winners in:

- Theoretical and experimental advances
- Instrumentation development
- New materials discoveries
- Chemistry for subjects in material physics

After the second war, **26** Physics Nobel Prizes were awarded to condensed matter physics and associated fields, and **5** Chemistry Nobel Prizes were awarded for subjects in condensed matter physics

[http://www.nobelprize.org/nobel\\_prizes/physics/laureates/](http://www.nobelprize.org/nobel_prizes/physics/laureates/)  
M. L. Cohen, Phys. Rev. Lett. 101, 25000 (2008)

## **Nobel Prizes related to laser/maser principles and developments in optics**

**1964** [Charles Hard Townes](#), [Nicolay Gennadiyevich Basov](#) and [Aleksandr Mikhailovich Prokhorov](#) "for fundamental work in the field of quantum electronics, which has led to the construction of oscillators and amplifier based on the [maser-laser principle](#)"

**1971** [Dennis Gabor](#) "for his invention and development of the [holographic method](#)"

**1981** [Nicolaas Bloembergen](#) and [Arthur Leonard Schawlow](#) "for their contribution to the development of [laser spectroscopy](#)"

**1997** [Steven Chu](#), [Claude Cohen-Tannoudji](#) and [William D. Phillips](#) "for development of methods to [cool and trap atoms](#) with laser light"

**2005** [Roy J. Glauber](#) "for his contribution to the quantum theory of [optical coherence](#)"

**2009** [Charles Kuen Kao](#) "for groundbreaking achievements concerning the [transmission of light in fibers](#) for optical communication"

[Willard S. Boyle](#) and [George E. Smith](#) "for the invention of an imaging semiconductor circuit - the [CCD sensors](#)"

**2012** [Serge Haroche](#) and [David J. Wineland](#) "for ground-breaking experimental methods that enable [measuring and manipulation of individual quantum systems](#)"

## **Nobel Prizes related to tunneling and electron microscopy**

### **1953 Frits (Frederik) Zernike**

"for his demonstration of the phase contrast method, especially for his invention of the phase contrast microscope"

### **1986 Ernst Ruska**

"for his fundamental work in electron optics, and for the design of the first electron microscopy

## **Nobel Prizes related to developments in device applications**

### **1952 Felix Bloch and Edward Mills Purcell**

"for their development of new methods for nuclear magnetic precision measurements and discoveries in connection therewith"

**1956 William Bradford Shockley, John Bardeen and Walter Houser Brattain** "for their researches on semiconductors and their discovery of the transistor effect"

**1973 Leo Esaki and Ivar Giaever** "for their experimental discoveries regarding tunneling phenomena in semiconductors and superconductors, respectively"

**2000 Zhores I. Alferov and Herbert Kroemer** "for developing semiconductor heterostructures used in high-speed opto-electronics"  
and **Jack S. Kilby** "for his part in the invention of the integrated circuit"

### **2007 Albert Fert and Peter Gruenberg**

"for the discovery of Giant Magnetoresistance"

**2009 Charles Kuen Kao, and Willard S. Boyle** "for groundbreaking achievements concerning the transmission of light in fibers for optical communication"

**George E. Smith** "for the invention of an imaging semiconductor circuit - the CCD sensor"

## **Nobel Prizes related to advances in superconductivity and the quantum Hall effect**

**1972** [John Bardeen](#), [Leon Neil Cooper](#) and [John Robert Schrieffer](#)

"for their jointly developed [theory of superconductivity](#), usually called the BCS-theory"

**1973** [Leo Esaki](#) and [Ivar Giaever](#) "for their experimental discoveries regarding [tunneling phenomena in semiconductors and superconductors](#), respectively"

**1985** [Klaus von Klitzing](#) "for the discovery of the [quantized Hall effect](#)"

**1987** [J. Georg Bednorz](#) and [K. Alexander Mueller](#)

"for their important break-through in the discovery of [superconductivity in ceramic materials](#)"

**1998** [Robert B. Laughlin](#), [Horst L. Stoermer](#) and [Daniel C. Tsui](#)

"for their discovery of a new form of quantum fluid with [fractionally charged excitations](#)"

**2003** [Alexei A. Abrikosov](#), [Vitaly L. Ginzburg](#) and [Anthony J. Leggett](#)

"for pioneering contributions to the [theory of superconductors and superfluids](#)"

## **Nobel Prizes related to low temperature quantum phenomena**

**1962** [Lev Davidovich Landau](#)

"for his pioneering theories for condensed matter, especially [liquid helium](#)"

**1996** [David M. Lee](#), [Douglas D. Osheroff](#) and [Robert C. Richardson](#)

"for their discovery of [superfluidity in helium-3](#)"

**2001** [Eric A. Cornell](#), [Wolfgang Ketterle](#) and [Carl E. Wieman](#) "for the achievement of [Bose-Einstein condensation](#) in dilute gases of alkali atoms, and for early fundamental studies of the properties of the condensates"

**2003** [Alexei A. Abrikosov](#), [Vitaly L. Ginzburg](#) and [Anthony J. Leggett](#)

"for pioneering contributions to [the theory of superconductors and superfluids](#)"

## **Nobel Prizes related to joint theoretical and experimental discoveries**

**1998** Robert B. Laughlin, Horst L. Stoermer and Daniel C. Tsui

"for their discovery of a new form of quantum fluid with fractionally charged excitations"

## **Nobel Prizes related to theorists alone**

**1962** Lev Davidovich Landau

"for his pioneering theories for condensed matter, especially liquid helium"

**1972** John Bardeen, Leon Neil Cooper and John Robert Schrieffer

"for their jointly developed theory of superconductivity, usually called the BCS-theory"

**1977** Philip Warren Anderson, Sir Nevill Francis Mott and John Hasbrouck van Vleck

"for their fundamental theoretical investigations of the electronic structure of magnetic and disordered systems"

**1982** Kenneth G. Wilson

"for his theory for critical phenomena in connection with phase transitions"

**1991** Pierre-Gilles de Gennes

"for discovering that methods developed for studying order phenomena in simple systems can be generalized to more complex forms of matter, in particular to liquid crystals and polymers"

**2003** Alexei A. Abrikosov, Vitaly L. Ginzburg and Anthony J. Leggett

"for pioneering contributions to the theory of superconductors and superfluids"

## **Nobel Prizes related to theoretical chemistry**

**1968** **Lars Onsager**

"for the discovery of the reciprocal relations bearing his name, which are fundamental for the thermodynamics of irreversible processes"

**1998** **Walter Kohn, and John A. Pople**

"for his development of the density-functional theory"

"for his development of computational methods in quantum chemistry"

## **Nobel Prizes related to discovery of new materials in physics**

**1987** **J. Georg Bednorz** and **K. Alexander Mueller**

"for their important break-through in the discovery of superconductivity in ceramic materials"

**2007** **Albert Fert** and **Peter Gruenberg**

"for the discovery of Giant Magnetoresistance"

**2010** **Andre Geim** and **Konstantin Novoselov**

"for groundbreaking experiments regarding the two-dimensional material graphene"

## **Nobel Prizes related to discovery of new materials in chemistry**

**1996** **Robert F. Curl Jr., Sir Harold W. Kroto** and **Richard E. Smalley**

"for their discovery of fullerenes"

**2000** **Alan J. Heeger, Alan G. MacDiarmid** and **Hideki Shirakawa**

"for the discovery and development of conductive polymers"

**2011** **Dan Shechtman**

"for the discovery of quasicrystals"

The beauty and difficulty of Condensed Matter Physics  
originate from

**Diversity**

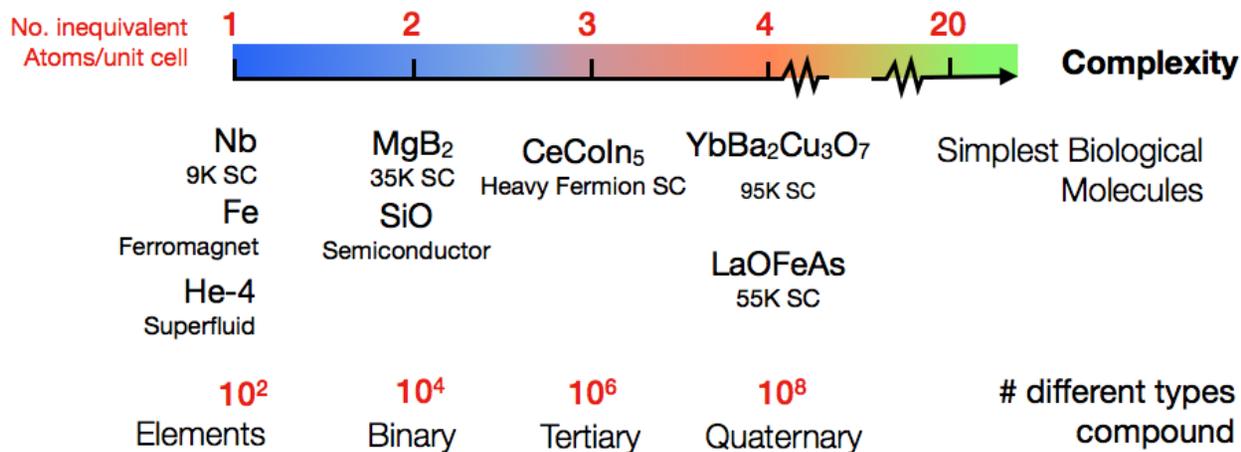
**Interconnections**

**Complexity**

**Diversity :** CMP is a very broad field. In the past it was driven by the curiosity about the natural world and how it responds to light, heat, mechanical forces, etc.. This fundamental understanding grew with the desire to manipulate nature for human needs. Thus very *different* systems, experimental methods, theoretical techniques ... are at the fundamentals of CMP

**Interconnections :** CMP has the strongest links, to other branches of physics particularly atomic, molecular, and optical physics, but also to other fields as chemistry, biology, engineering, material science, computer science and global communications.

**Complexity :** As the number of atoms grows, its complexity as well the potential for new phenomena grows.



## Addressing CMP, two paradigms can be adopted:

- The **atomistic** philosophy well suited for isolated atoms, molecules, electronic structure of crystalline solids. Basic question : “what are the microscopic laws of nature ?”

*“The general theory of quantum mechanics is now almost complete. The underlying physical laws necessary for the mathematical theory of a large part of physics and the whole of chemistry are thus completely known, and the difficulty is only that the exact application of these laws leads to equations much too complicated to be soluble.”*

P.A.M. Dirac (1929)

But... already In 1820, Laplace speculated on determining the consequences of physical laws:

*“An intelligent being who, at a given moment, knows all the forces that cause nature to move and the positions of the objects that it is made from, if also it is powerful enough to analyze this data, would have described in the same formula the movements of the largest bodies of the universe and those of the lightest atoms. Although scientific research steadily approaches the abilities of this intelligent being, complete prediction will always remain infinitely far away.”*

*Theorie Analytique des Probabilites*

- The philosophy of **emergent phenomena** (a term from evolutionary biology) which occur when huge numbers of particles assemble. Basic question : “what new principles and laws emerge going from the microscopic to the macroscopic?”

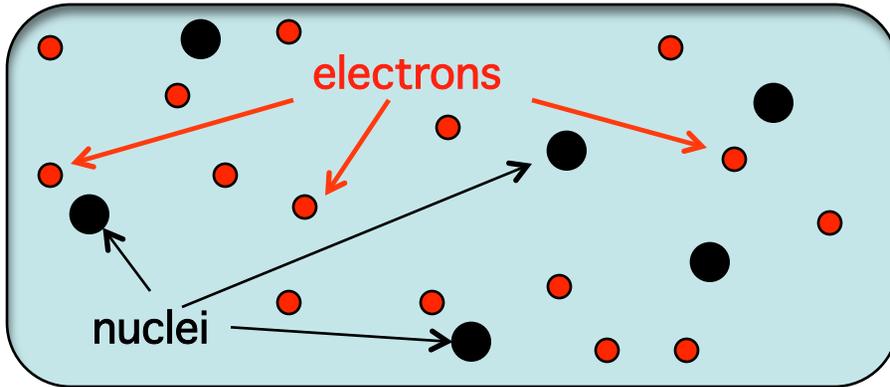
*“The behavior of large and complex aggregations of elementary particles, it turns out, is not to be understood in terms of a simple extrapolation of the properties of a few particles. Instead, at each level of complexity entirely new properties appear, and the understanding of the new behaviors requires research which I think is as fundamental in its nature as any other.”*

(P. W. Anderson from “More is Different” , Science 1972)

---

Both approaches should merge and be adopted together to understand the behavior of condensed matter

## CONCEPTUAL GROUNDWORK



$$\begin{aligned}
 H_{\text{tot}} &= T_N + T_e + V_{ee} + V_{eN} + V_{NN} \\
 &= -\sum_I \frac{\hbar^2 \nabla_I^2}{2M_I} - \sum_i \frac{\hbar^2 \nabla_i^2}{2m} + \underbrace{\frac{1}{2} \sum_{i \neq j} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|} - \sum_{iI} \frac{z_I e^2}{|\mathbf{r}_i - \mathbf{R}_I|} + \frac{1}{2} \sum_{I \neq J} \frac{z_I z_J e^2}{|\mathbf{R}_I - \mathbf{R}_J|}}
 \end{aligned}$$

Equazione di Schroedinger

$$[T_N(R) + T_e(r) + V(r, R)]\Psi(r, R) = W\Psi(r, R)$$

$H_e(r, R)$

$$\Psi_{\text{trial}}(r, R) \approx \chi(R)\psi_0(r; R)$$

## Electronic Problem

$$H_e(r; R) = T_e + V(r, R).$$

$$H_e(r; R) \psi_0(r; R) = E_0(R) \psi_0(r; R)$$

## Nuclear Problem

Born-Oppenheimer approximation

$$\left[ -\frac{\hbar^2}{2M} \frac{\partial^2}{\partial R^2} + E_0(R) \right] \chi(R) + \cancel{A_{00}(R)} \chi(R) = W \chi(R)$$

$$E_0(R) = E_0(R_0) + \frac{1}{2} \sum_{IJ} \left( \frac{\partial^2 E_0}{\partial R_I \partial R_J} \right)_0 u_I u_J + \text{higher order terms.}$$

$$M_I \ddot{R}_I = - \frac{\partial E_0(\{R_J\})}{\partial R_I}$$

Molecular Dynamics

From the **many-electron** problem

$$H_e \Psi(\mathbf{r}_1\sigma_1, \mathbf{r}_2\sigma_2, \dots, \mathbf{r}_N\sigma_N) = E\Psi(\mathbf{r}_1\sigma_1, \mathbf{r}_2\sigma_2, \dots, \mathbf{r}_N\sigma_N)$$

↓ HARTREE, HARTREE-FOCK, DENSITY FUNCTIONAL THEORY.....

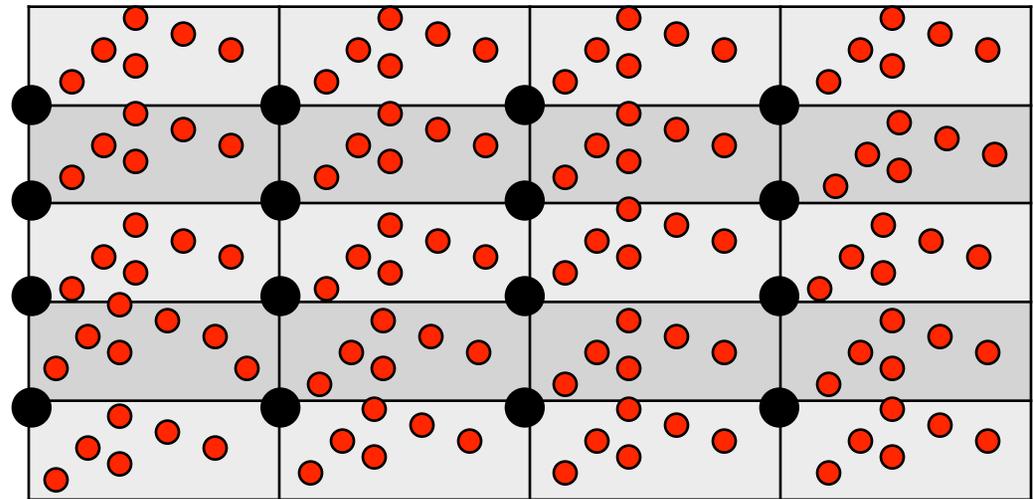
To the **one-electron** problem

$$\left[ \frac{\mathbf{p}^2}{2m} + V(\mathbf{r}) \right] \psi(\mathbf{r}) = E\psi(\mathbf{r})$$

PERIODICITY:

$$V(\mathbf{r} + \mathbf{t}_n) = V(\mathbf{r})$$

$$\psi(\mathbf{k}, \mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}} u(\mathbf{k}, \mathbf{r})$$



$$\psi(\mathbf{k}, \mathbf{r} + \mathbf{t}_n) = e^{i\mathbf{k}\cdot(\mathbf{r} + \mathbf{t}_n)} u(\mathbf{k}, \mathbf{r} + \mathbf{t}_n) = e^{i\mathbf{k}\cdot\mathbf{t}_n} \psi(\mathbf{k}, \mathbf{r})$$

BLOCH THEOREM  
BAND STRUCTURE

## Interacting atoms model :

**Particles:** electrons and nuclei

The atomic core electrons are inert, the valence electrons are itinerant or partially localized)

**Interactions:** electromagnetic and quantum mechanics

## Elementary excitations model :

explanation of the **collective excitations** induced by probes

**Particles (quasiparticles):** can be fictitious as phonons, magnons, polaritons, excitons.....

**Both models** are used together to interpret the physics of solids

From GERMANIUM to GRAPHENE

or

From GERMANIUM to GERMANIUM

and

From 2D-GRAPHITE to GRAPHENE

?

# GROUP IV



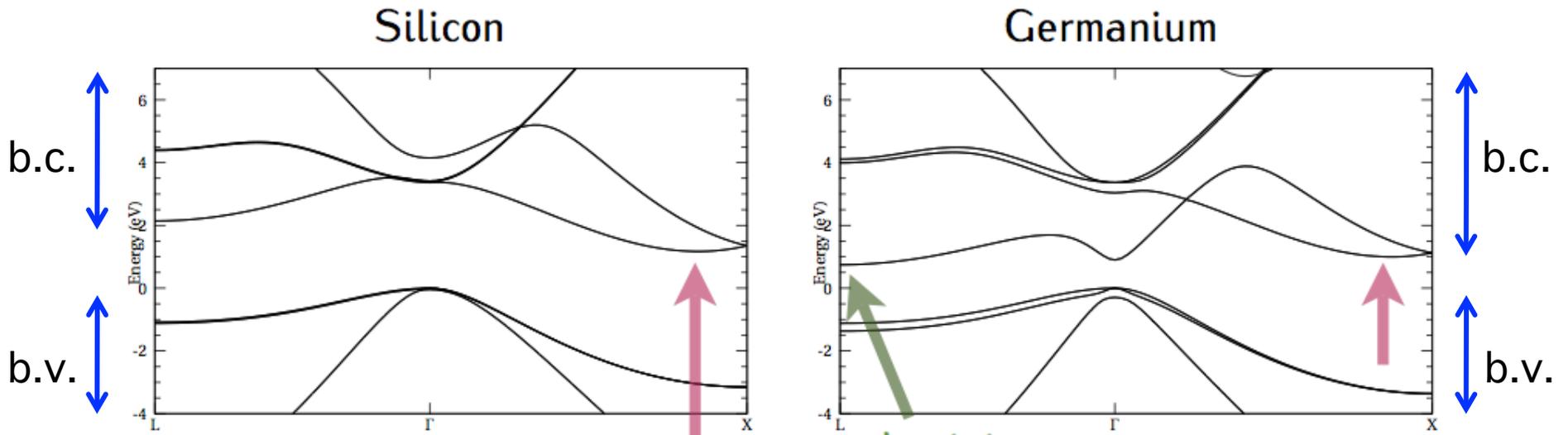
hydrogen 1 <b>H</b> 1.0079																				helium 2 <b>He</b> 4.0026
lithium 3 <b>Li</b> 6.941	beryllium 4 <b>Be</b> 9.0122										boron 5 <b>B</b> 10.811	carbon 6 <b>C</b> 12.011	nitrogen 7 <b>N</b> 14.007	oxygen 8 <b>O</b> 15.999	fluorine 9 <b>F</b> 18.998	neon 10 <b>Ne</b> 20.180				
sodium 11 <b>Na</b> 22.990	magnesium 12 <b>Mg</b> 24.305										aluminium 13 <b>Al</b> 26.982	silicon 14 <b>Si</b> 28.086	phosphorus 15 <b>P</b> 30.974	sulfur 16 <b>S</b> 32.065	chlorine 17 <b>Cl</b> 35.453	argon 18 <b>Ar</b> 39.948				
potassium 19 <b>K</b> 39.098	calcium 20 <b>Ca</b> 40.078	scandium 21 <b>Sc</b> 44.956	titanium 22 <b>Ti</b> 47.867	vanadium 23 <b>V</b> 50.942	chromium 24 <b>Cr</b> 51.996	manganese 25 <b>Mn</b> 54.938	iron 26 <b>Fe</b> 55.845	cobalt 27 <b>Co</b> 58.933	nickel 28 <b>Ni</b> 58.693	copper 29 <b>Cu</b> 63.546	zinc 30 <b>Zn</b> 65.39	gallium 31 <b>Ga</b> 69.723	germanium 32 <b>Ge</b> 72.61	arsenic 33 <b>As</b> 74.922	selenium 34 <b>Se</b> 78.96	bromine 35 <b>Br</b> 79.904	krypton 36 <b>Kr</b> 83.80			
rubidium 37 <b>Rb</b> 85.468	strontium 38 <b>Sr</b> 87.62	yttrium 39 <b>Y</b> 88.906	zirconium 40 <b>Zr</b> 91.224	niobium 41 <b>Nb</b> 92.906	molybdenum 42 <b>Mo</b> 95.94	technetium 43 <b>Tc</b> [98]	ruthenium 44 <b>Ru</b> 101.07	rhodium 45 <b>Rh</b> 102.91	palladium 46 <b>Pd</b> 106.42	silver 47 <b>Ag</b> 107.87	cadmium 48 <b>Cd</b> 112.41	indium 49 <b>In</b> 114.82	tin 50 <b>Sn</b> 118.71	antimony 51 <b>Sb</b> 121.76	tellurium 52 <b>Te</b> 127.60	iodine 53 <b>I</b> 126.90	xenon 54 <b>Xe</b> 131.29			
caesium 55 <b>Cs</b> 132.91	barium 56 <b>Ba</b> 137.33	57-70 *	lutetium 71 <b>Lu</b> 174.97	hafnium 72 <b>Hf</b> 178.49	tantalum 73 <b>Ta</b> 180.95	tungsten 74 <b>W</b> 183.84	rhenium 75 <b>Re</b> 186.21	osmium 76 <b>Os</b> 190.23	iridium 77 <b>Ir</b> 192.22	platinum 78 <b>Pt</b> 195.08	gold 79 <b>Au</b> 196.97	mercury 80 <b>Hg</b> 200.59	thallium 81 <b>Tl</b> 204.38	lead 82 <b>Pb</b> 207.2	bismuth 83 <b>Bi</b> 208.98	polonium 84 <b>Po</b> [209]	astatine 85 <b>At</b> [210]	radon 86 <b>Rn</b> [222]		
francium 87 <b>Fr</b> [223]	radium 88 <b>Ra</b> [226]	89-102 **	lawrencium 103 <b>Lr</b> [262]	rutherfordium 104 <b>Rf</b> [261]	dubnium 105 <b>Db</b> [262]	seaborgium 106 <b>Sg</b> [266]	bohrium 107 <b>Bh</b> [264]	hassium 108 <b>Hs</b> [269]	meitnerium 109 <b>Mt</b> [268]	ununnillium 110 <b>Uun</b> [271]	unununium 111 <b>Uuu</b> [272]	ununbium 112 <b>Uub</b> [277]		ununquadium 114 <b>Uuq</b> [289]						

\* Lanthanide series

lanthanum 57 <b>La</b> 138.91	cerium 58 <b>Ce</b> 140.12	praseodymium 59 <b>Pr</b> 140.91	neodymium 60 <b>Nd</b> 144.24	promethium 61 <b>Pm</b> [145]	samarium 62 <b>Sm</b> 150.36	europium 63 <b>Eu</b> 151.96	gadolinium 64 <b>Gd</b> 157.25	terbium 65 <b>Tb</b> 158.93	dysprosium 66 <b>Dy</b> 162.50	holmium 67 <b>Ho</b> 164.93	erbium 68 <b>Er</b> 167.26	thulium 69 <b>Tm</b> 168.93	ytterbium 70 <b>Yb</b> 173.04
actinium 89 <b>Ac</b> [227]	thorium 90 <b>Th</b> 232.04	protactinium 91 <b>Pa</b> 231.04	uranium 92 <b>U</b> 238.03	neptunium 93 <b>Np</b> [237]	plutonium 94 <b>Pu</b> [244]	americium 95 <b>Am</b> [243]	curium 96 <b>Cm</b> [247]	berkelium 97 <b>Bk</b> [247]	californium 98 <b>Cf</b> [251]	einsteinium 99 <b>Es</b> [252]	fermium 100 <b>Fm</b> [257]	mendelevium 101 <b>Md</b> [258]	nobelium 102 <b>No</b> [259]

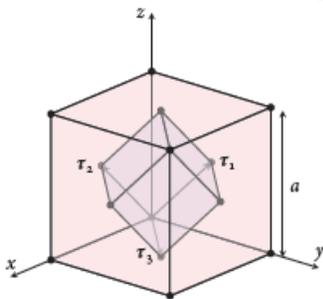
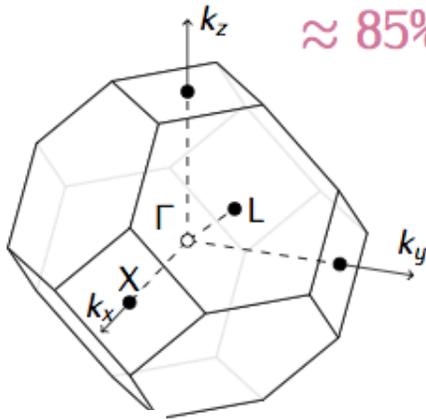
\*\* Actinide series

# Silicon/Germanium band structures



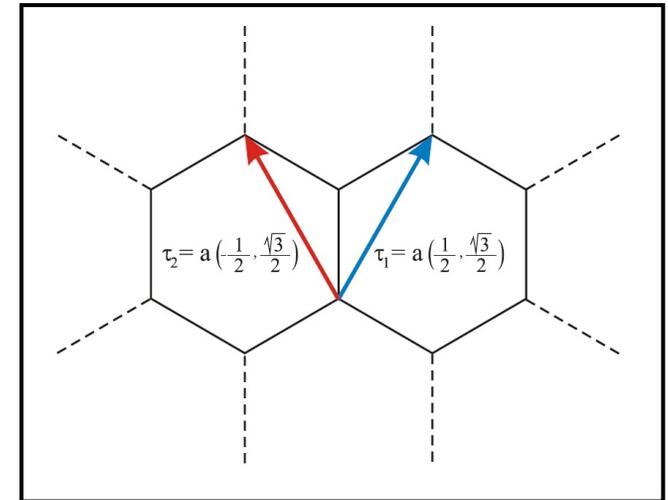
≈ 85% of the  $\Gamma-X$  line  
( $\Delta$  line)

L minimum



- Si and Ge have indirect gap: not suited for optical amplification
- Interest in integrating electronics and photonics on the same platform
- Light amplification requires population inversion of Gamma carriers

## GRAPHENE LATTICE

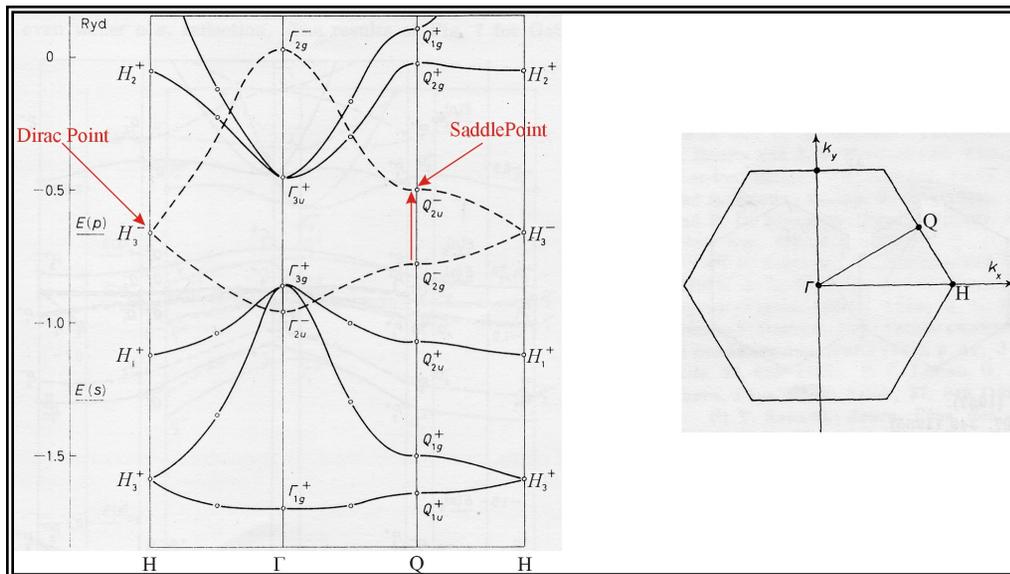


From **2D-GRAPHITE** (Wallace 1947) to **GRAPHENE** (Novoselov et al. 2004) P.R. Wallace “The Band Theory of Graphite” Phys. Rev. 71, 622-634 (1947)

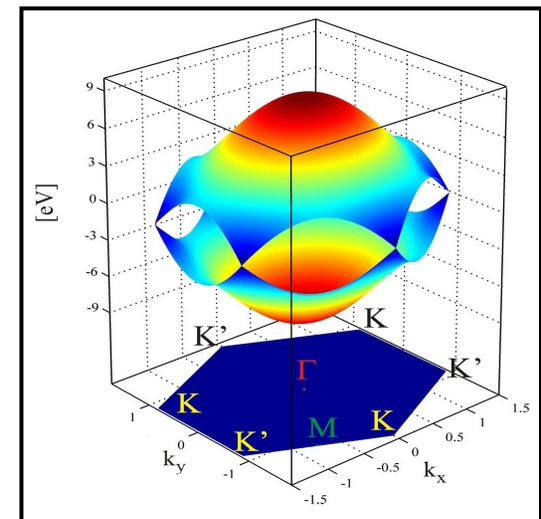
- The **structural anisotropy** is responsible for peculiar mechanical, electronic, vibrational and optical properties.

K.S.Novoselov et al. “Electric Field Effect in Atomically Thin Carbon Films” Science 306, 666-669 (2004)

- Experimental realization of **monolayers of carbon atoms**.
- Striking transport properties of **graphene**, fully controlled by **Dirac points** in the low energy region (**minimal conductivity, shot noise, Klein tunneling, half-integer quantum Hall, current profiles, valley-valve filtering**).



## $\pi$ - $\pi^*$ ELECTRONIC BAND STRUCTURE



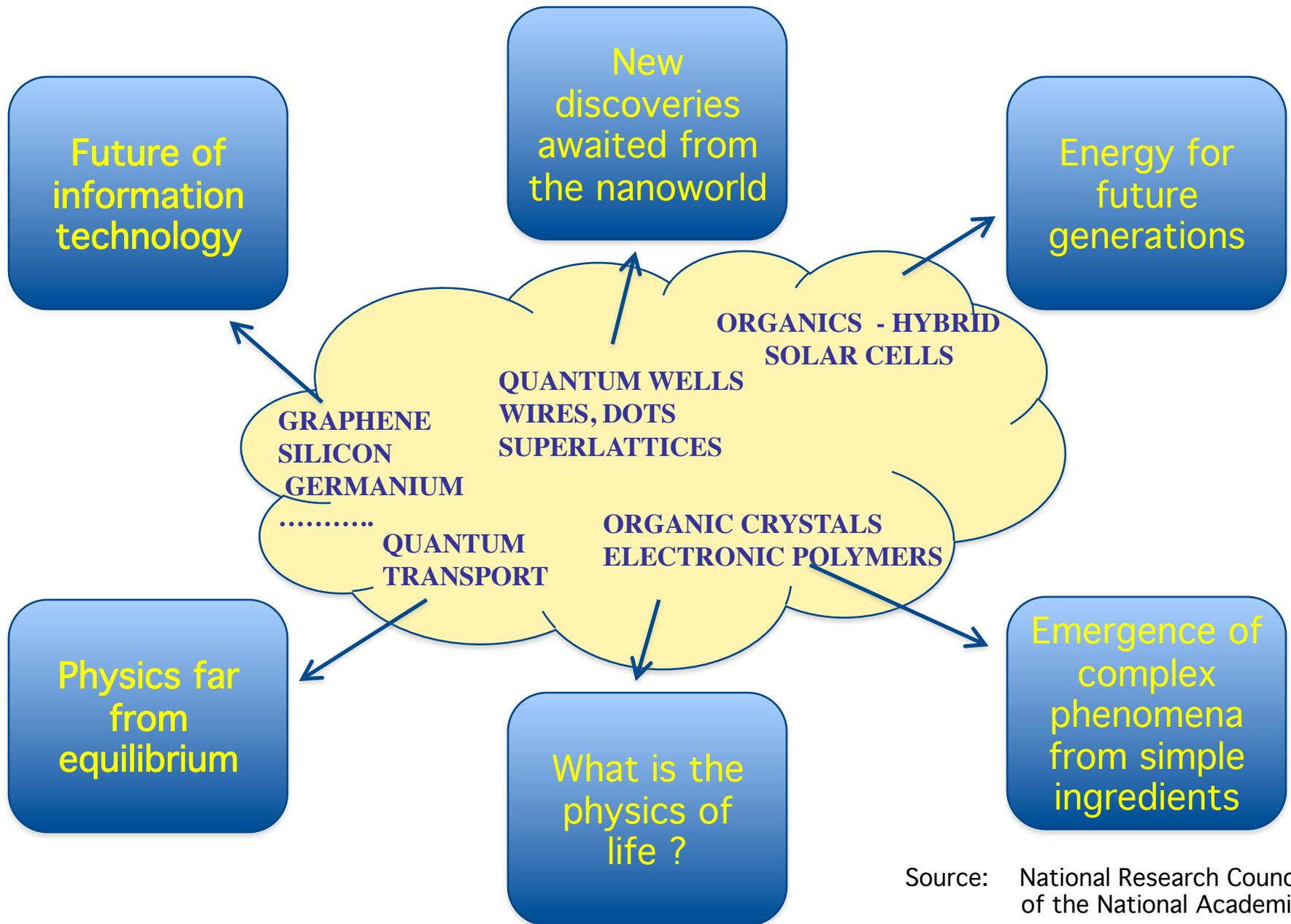


*Janus Bifrons* in the [Vatican Museums](#)

He looks to the past and to the future

Future research frontiers in condensed matter physics

# Challenges for CMP in the next decade

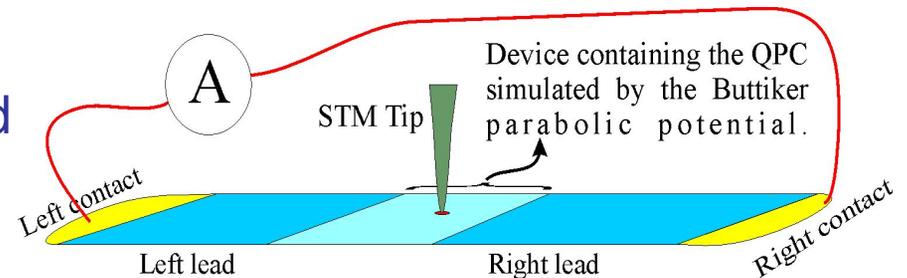
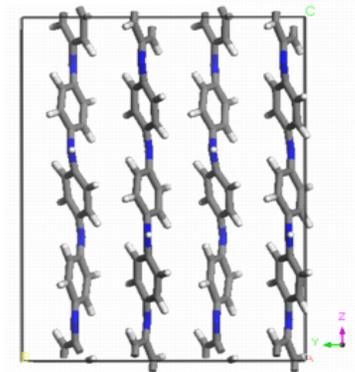
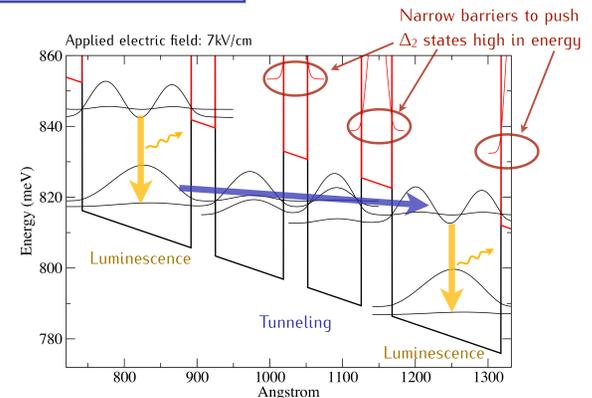


**“Methods”** oriented scientific themes:  
geometrical, electronic, optical and transport properties

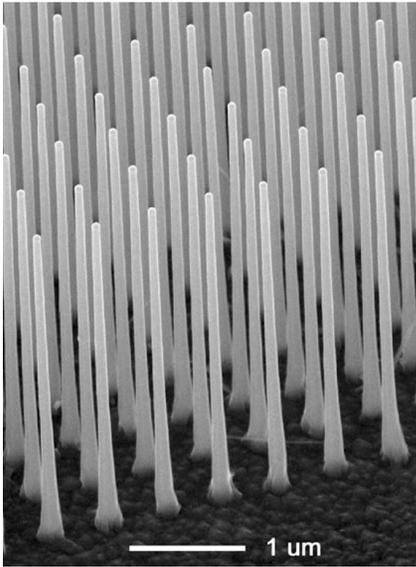
- Ab-initio **DFT** calculations and Molecular dynamics
- **“Real space”** numerical algorithms for the electronic states in ordered and disordered low dimensional solids
- **Density of states, transmittivity, localization length** in multichain systems
- **Charge transport** in mesoscopic systems

## “Materials” oriented problems

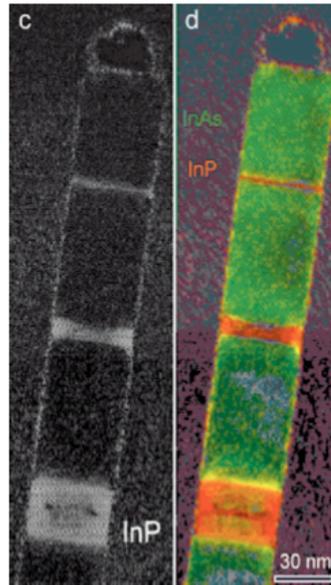
- ➔ Low dimensional physics:  
New materials in nanotechnology:  
new properties, new complexity
- ➔ Organic materials (Conjugated electronic conducting  
polymers, Molecular crystals)
- ➔ Complex molecular systems for life science  
(DNA, Proteins ....)
- ➔ Quantum transport in nanostructured  
systems



New materials with nanoscale dimensions  
new properties, new complexity



InP nanowire,  
L. Samuelson, Lund



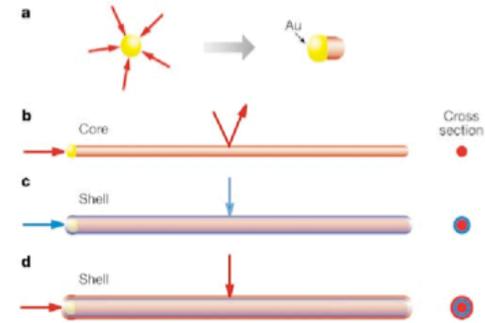
M. Björk *et al*, Nano Letters 2, 87 (2002)

Diameter: 3-100 nm

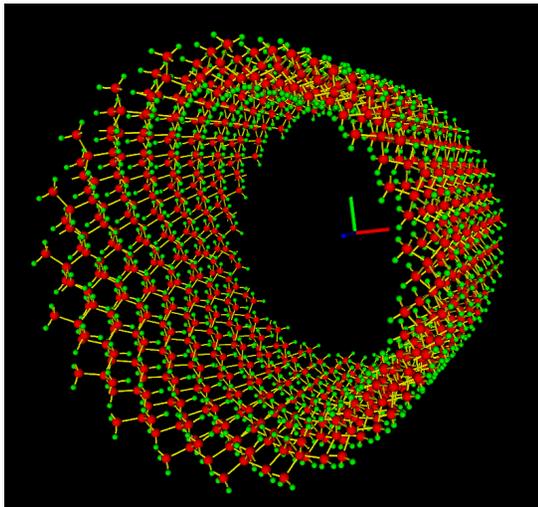
Length: >10 μm

Materials: Si, Ge, GaAs, InAs, InP, .....

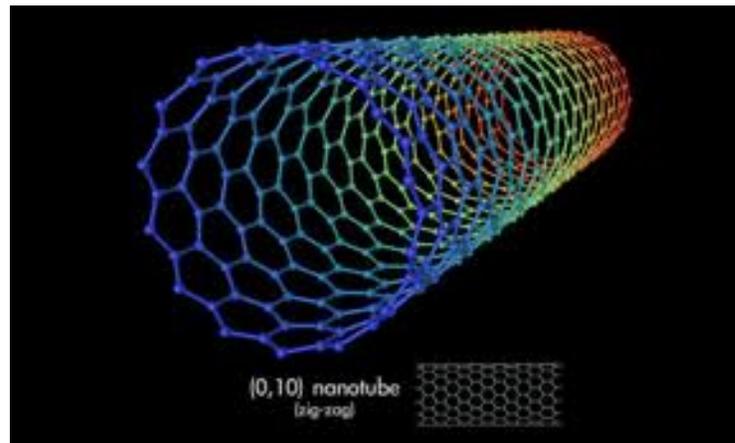
It is possible to realize heterostructures



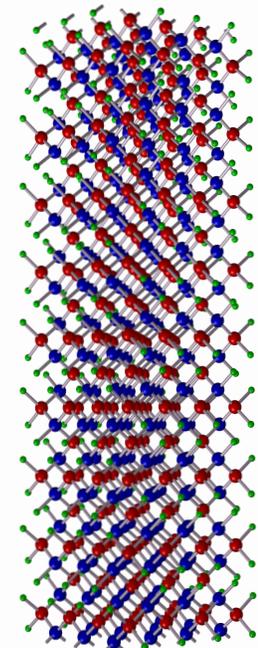
L. J. Lauhon *et al*, Nature 420, 57 (2002)



Silicon Nanotube

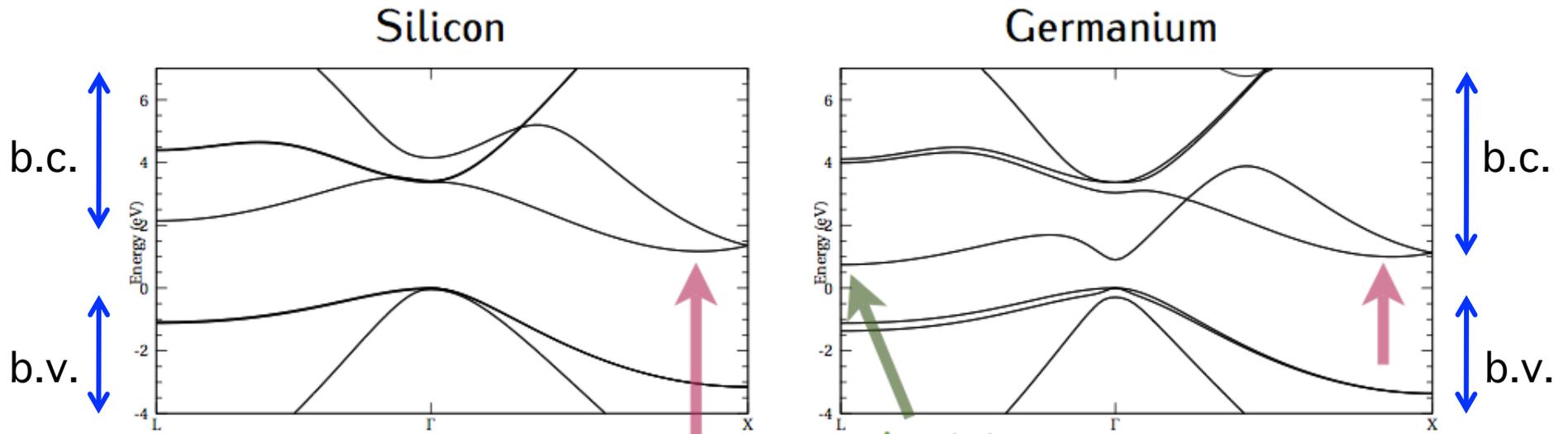


Carbon Nanotube



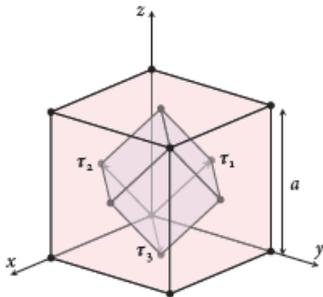
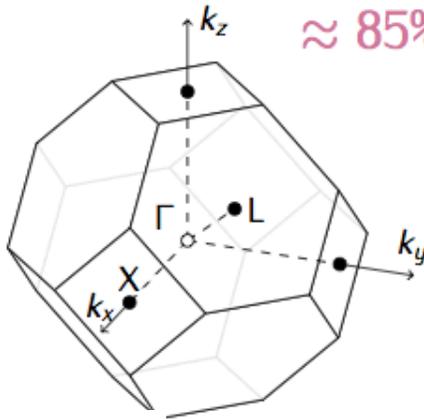
Si/Ge Nanowire

# Frontiers in Silicon/Germanium photonics



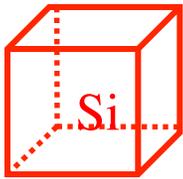
≈ 85% of the  $\Gamma$ -X line  
( $\Delta$  line)

L minimum

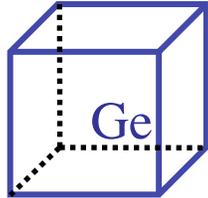


- Si and Ge have indirect gap: not suited for optical amplification
- Interest in integrating electronics and photonics on the same platform
- Light amplification requires population inversion of Gamma carriers

# Band structure engineering by STRAIN



$a_0 = 5.431 \text{ \AA}$

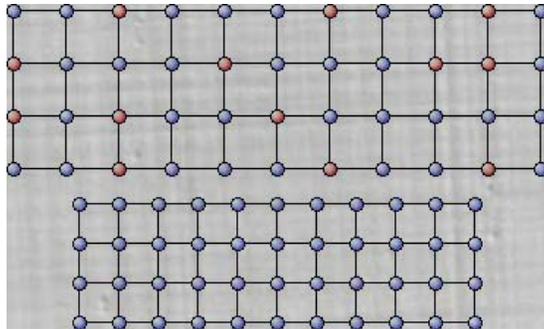


$a_0 = 5.657 \text{ \AA}$

~ 4% mismatch of the lattice constants

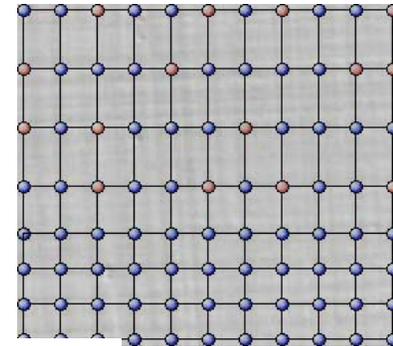
**matching condition:**

$$a_{\parallel}^x = a_{\parallel}^y = a_0^y$$



**Si<sub>1-x</sub>Ge<sub>x</sub> active layer**

**Relaxed Si substrate**



**x**

**y**

- Renewed interest in SiGe also due to:
  - recent advances in SiGe epitaxy (also in the Ge-rich regime) which is difficult also due to the unavoidable **strain**
  - recent developments of a Ge-on-Si laser (MIT)

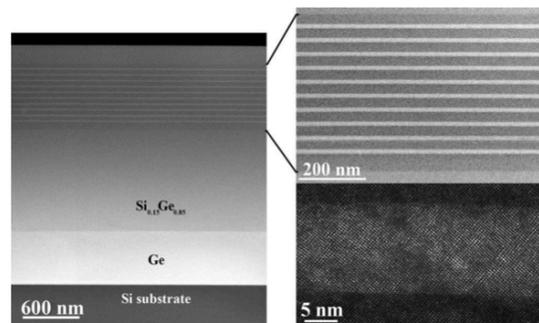
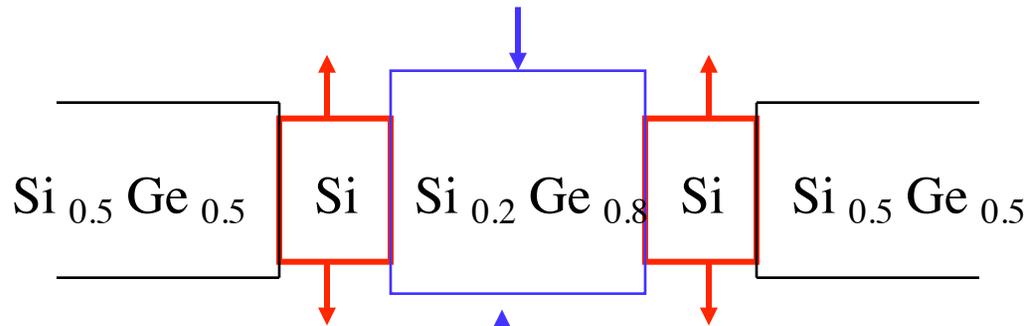
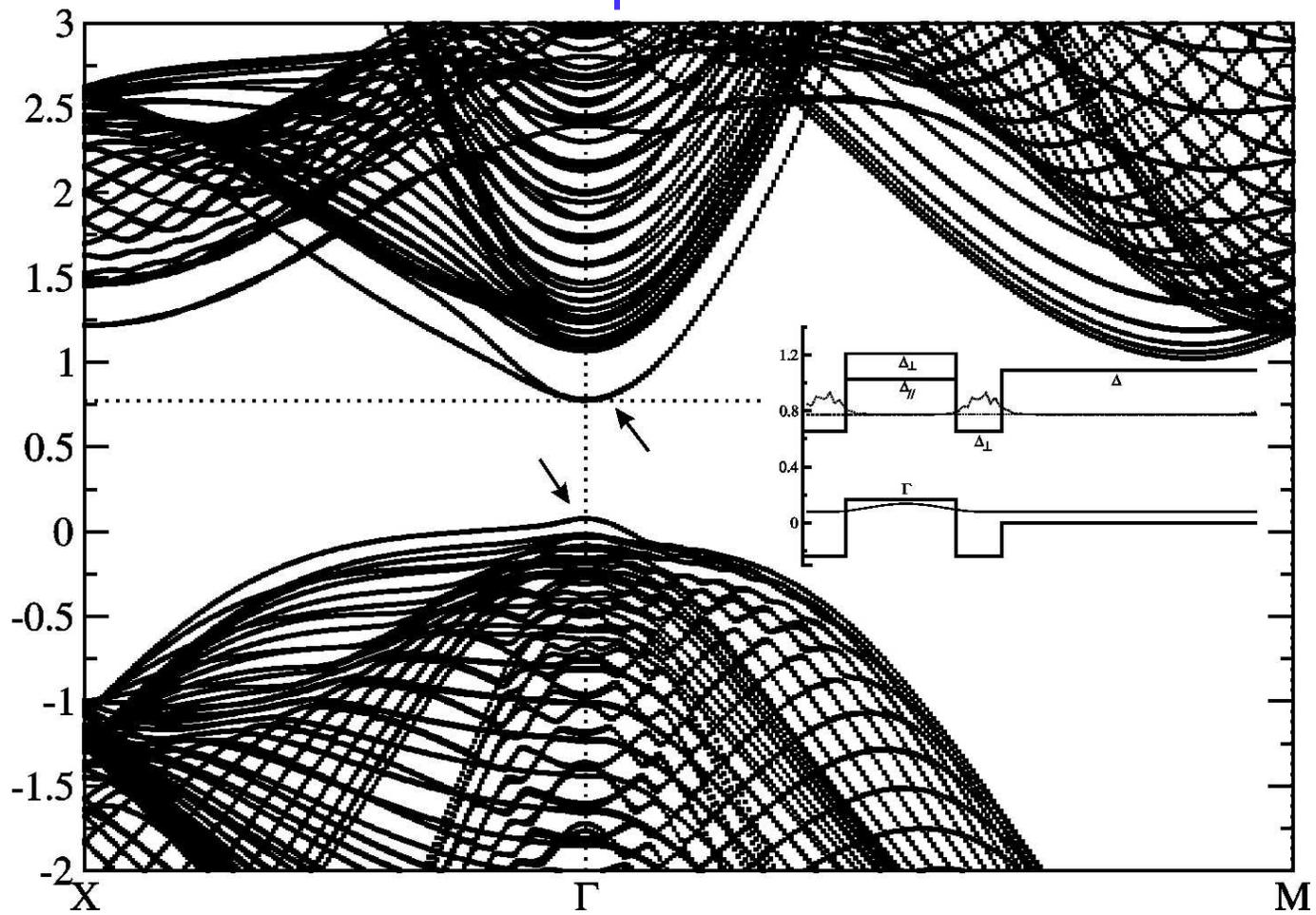


Image from Y. Busby, GP et al., Phys. Rev. B 82, 205317 (2010)

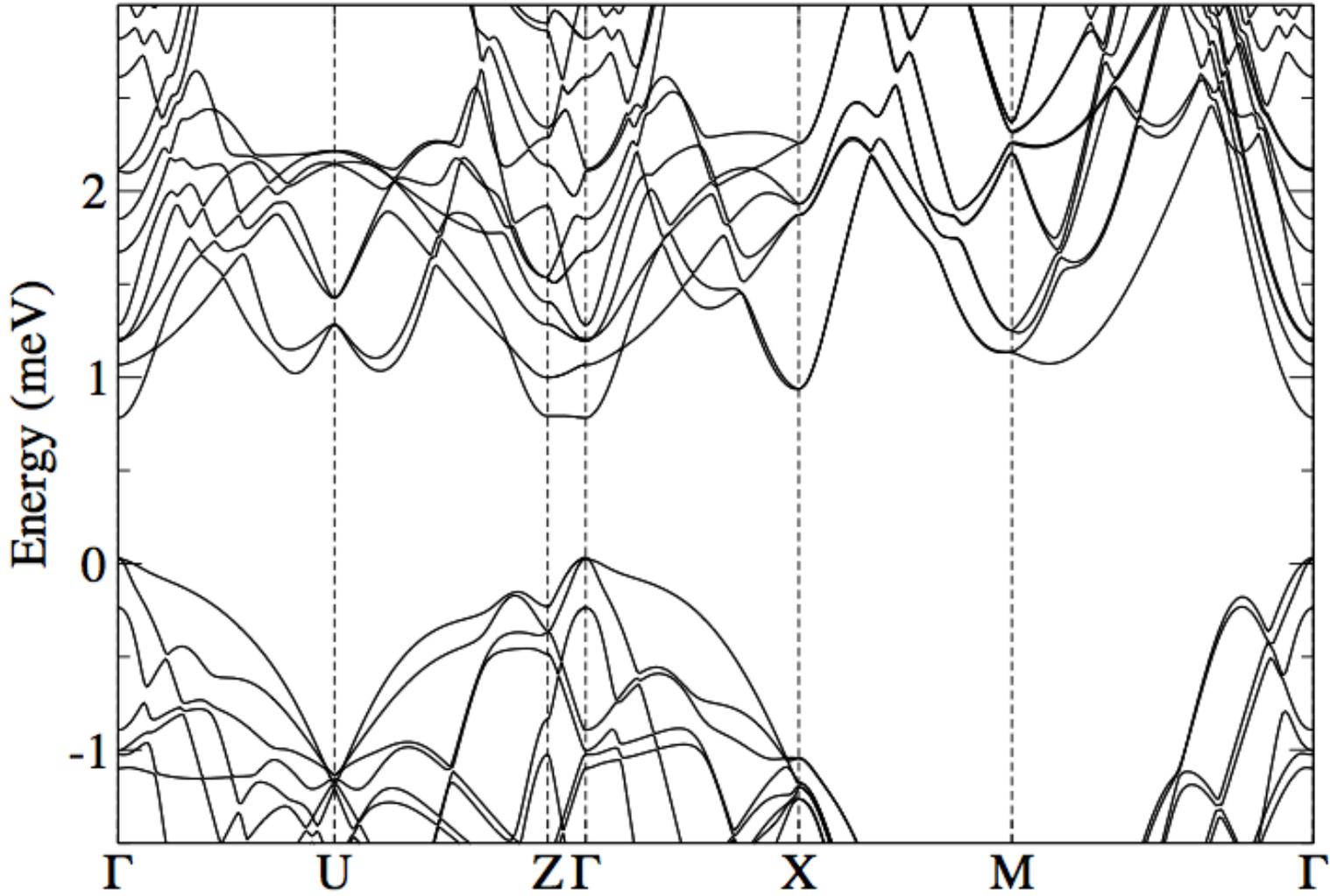


2D



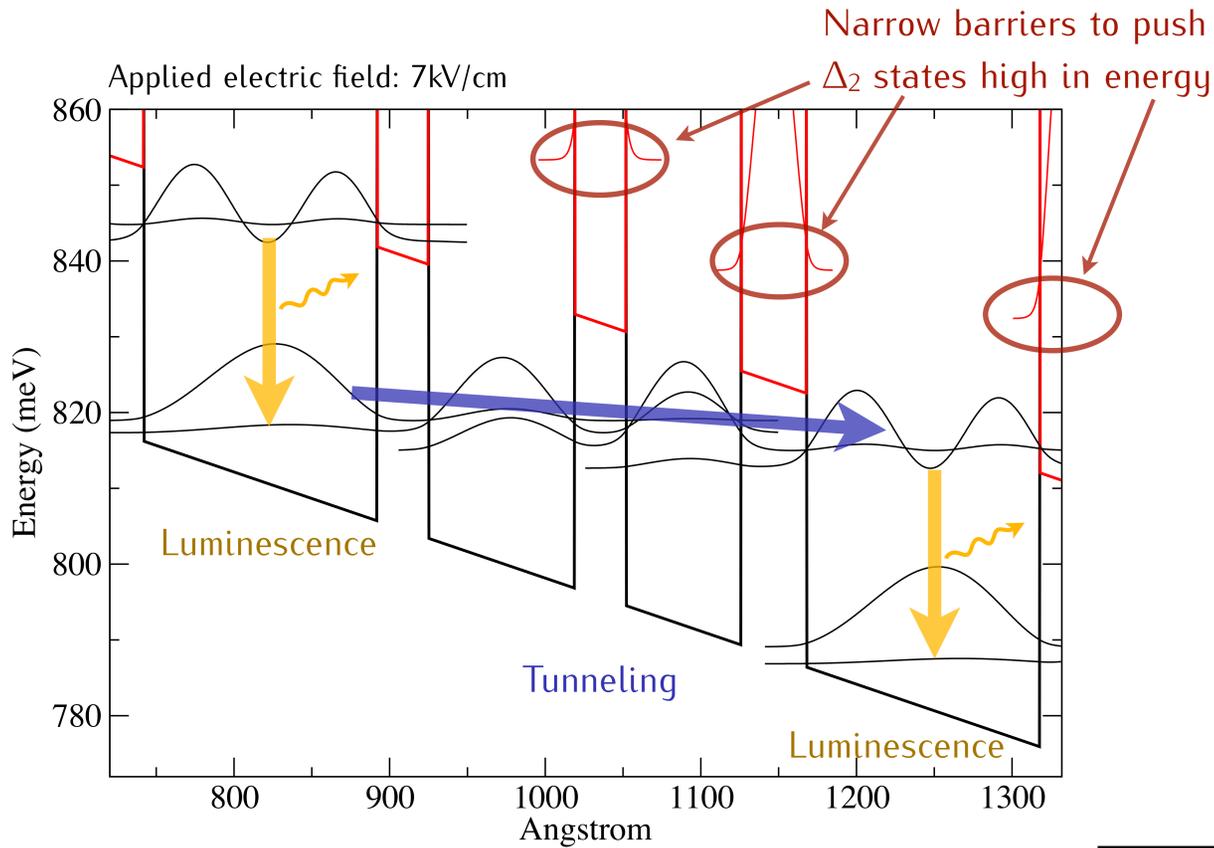
# Si<sub>2</sub>Ge<sub>14</sub> Superlattice

2D

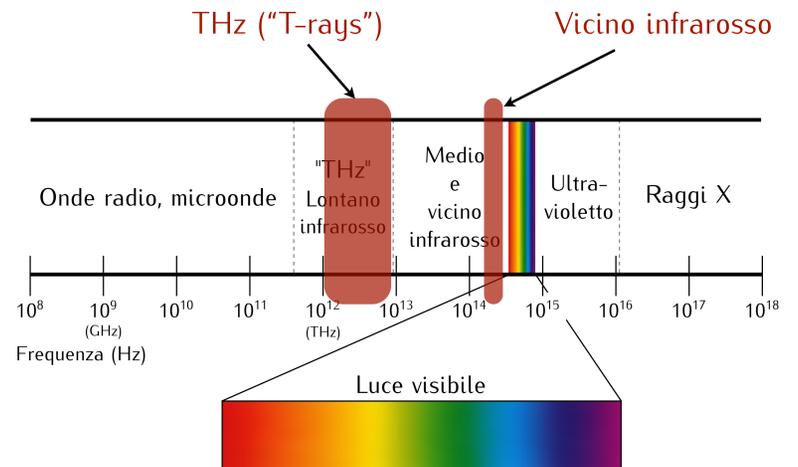


# Multiple Quantum Wells for terahertz radiation

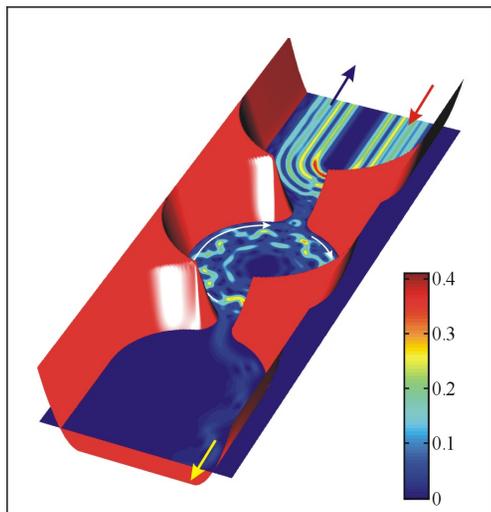
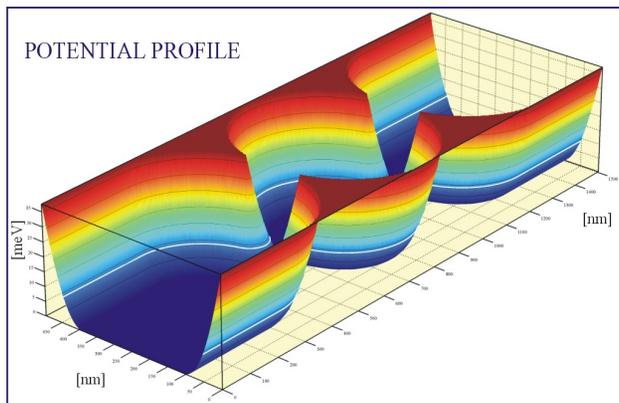
2D



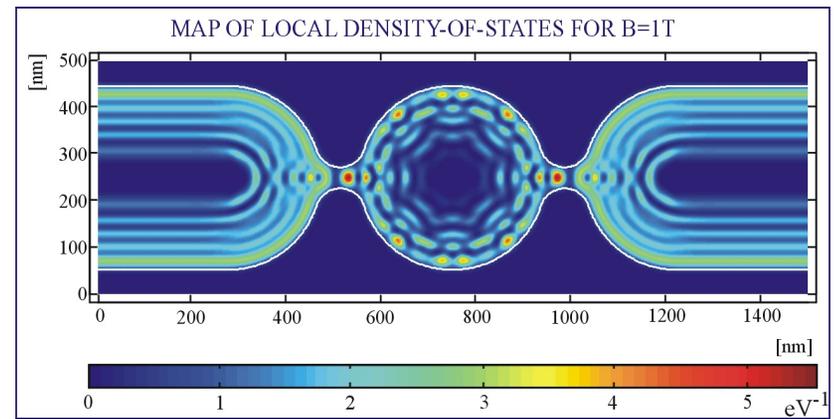
Si/Ge - based materials  
Conduction Interband  
transitions



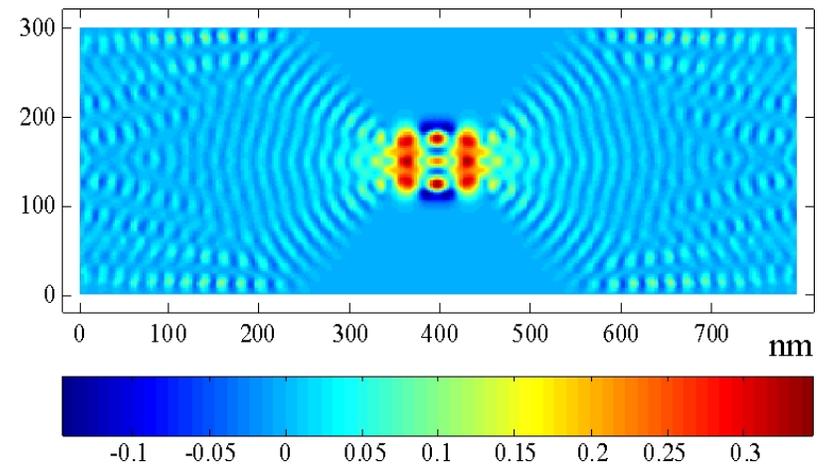
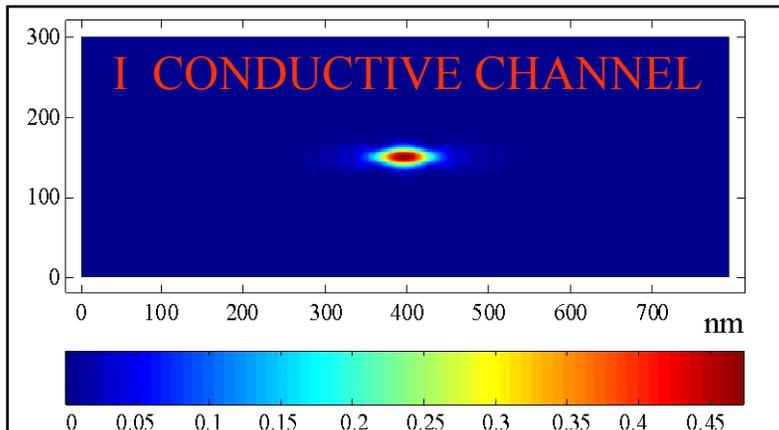
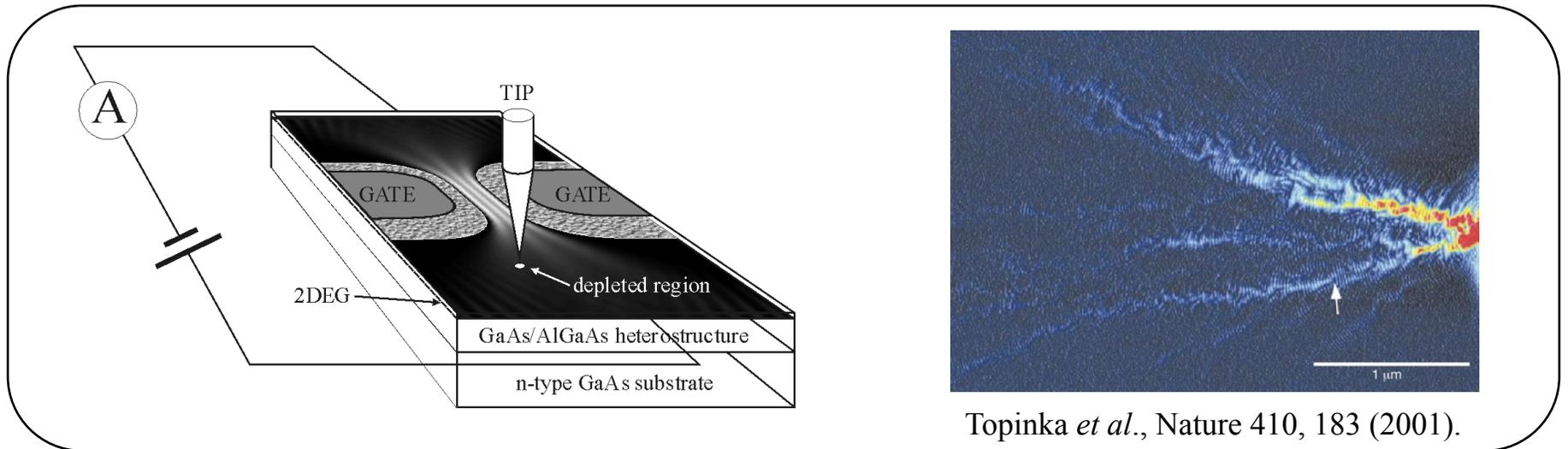
# Quantum electronic conduction in nanostructures

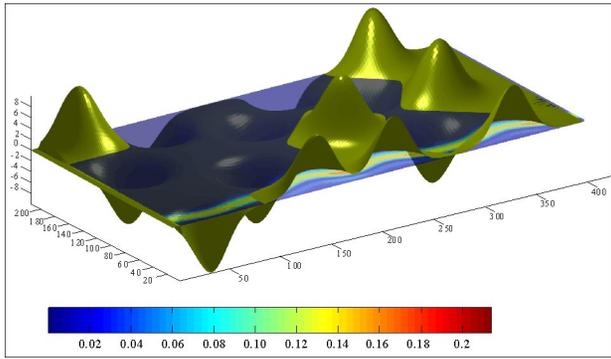


## Currents imaging

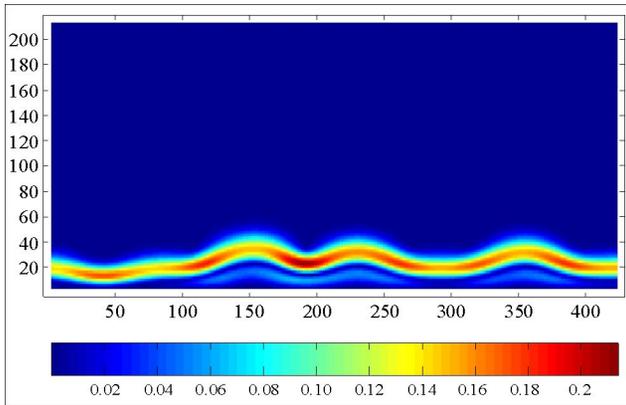


# Charge transport :Microscopic Currents Imaging

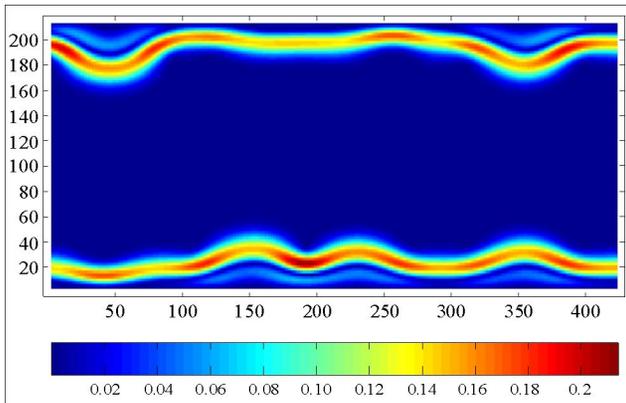
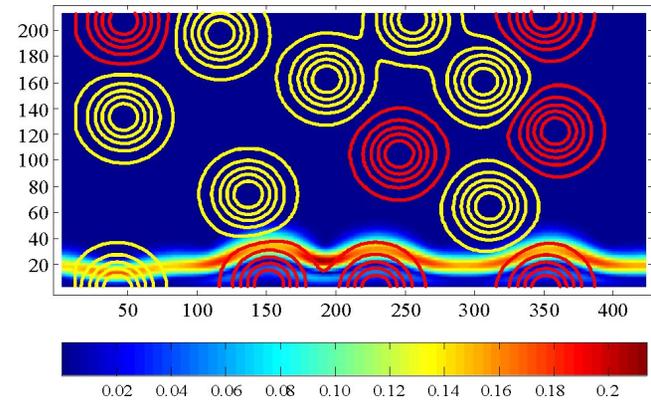




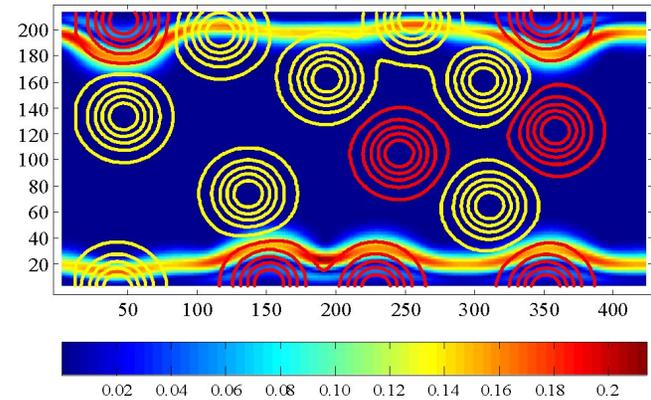
Quantum Hall regime  
 In **Chiral regime** the obstacles do not change the **total net current** through the device. Quantization of conductances is **exact**.



Transport

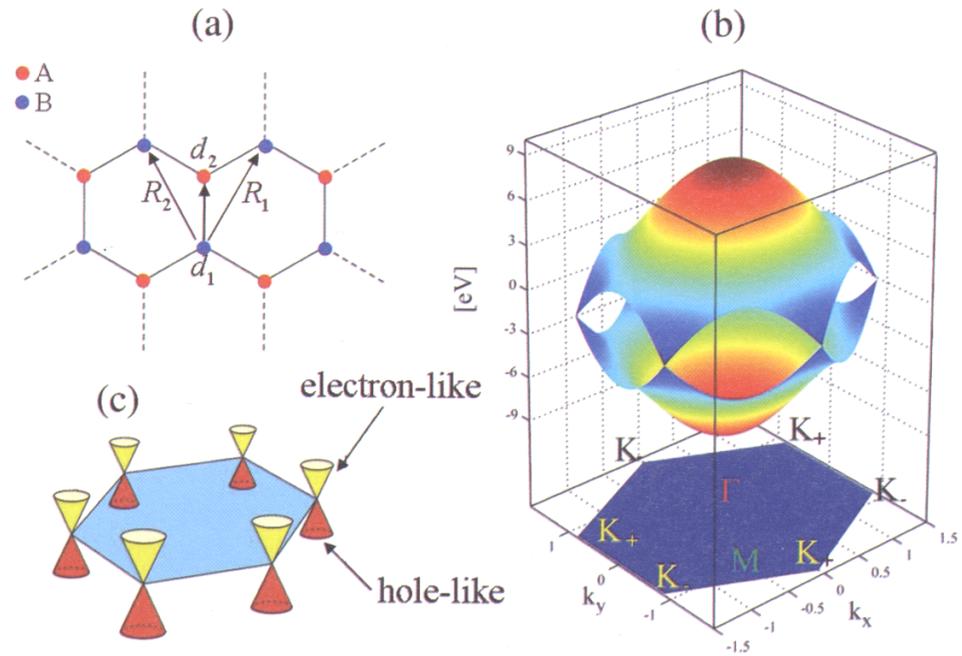
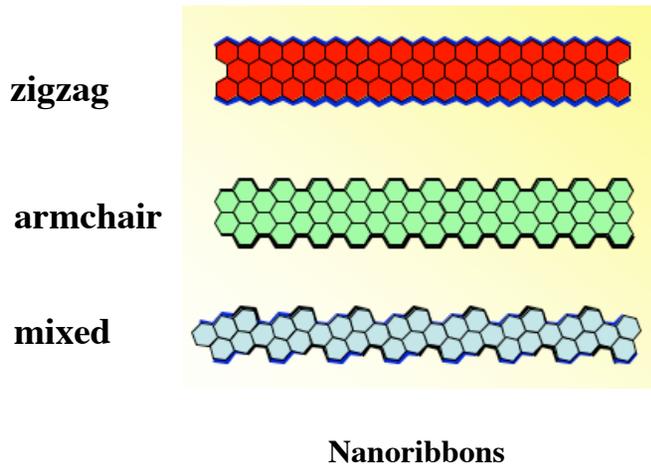
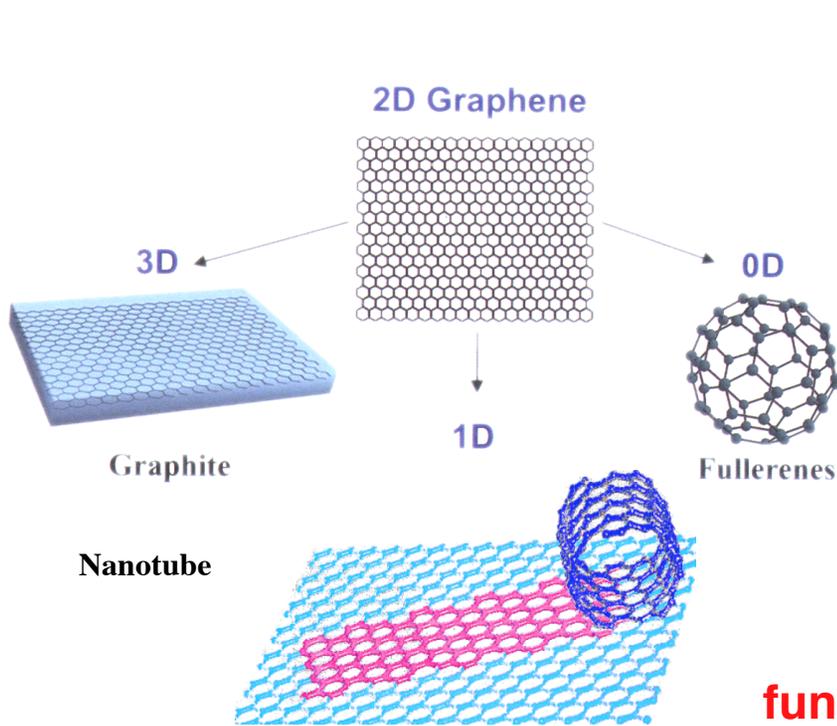


Persistent



# The new carbon era

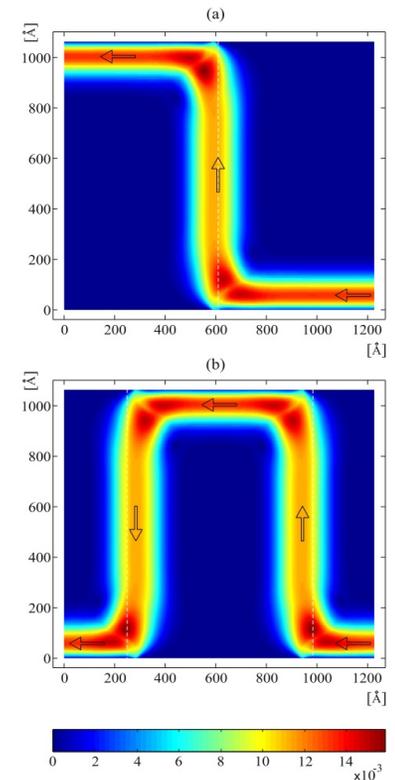
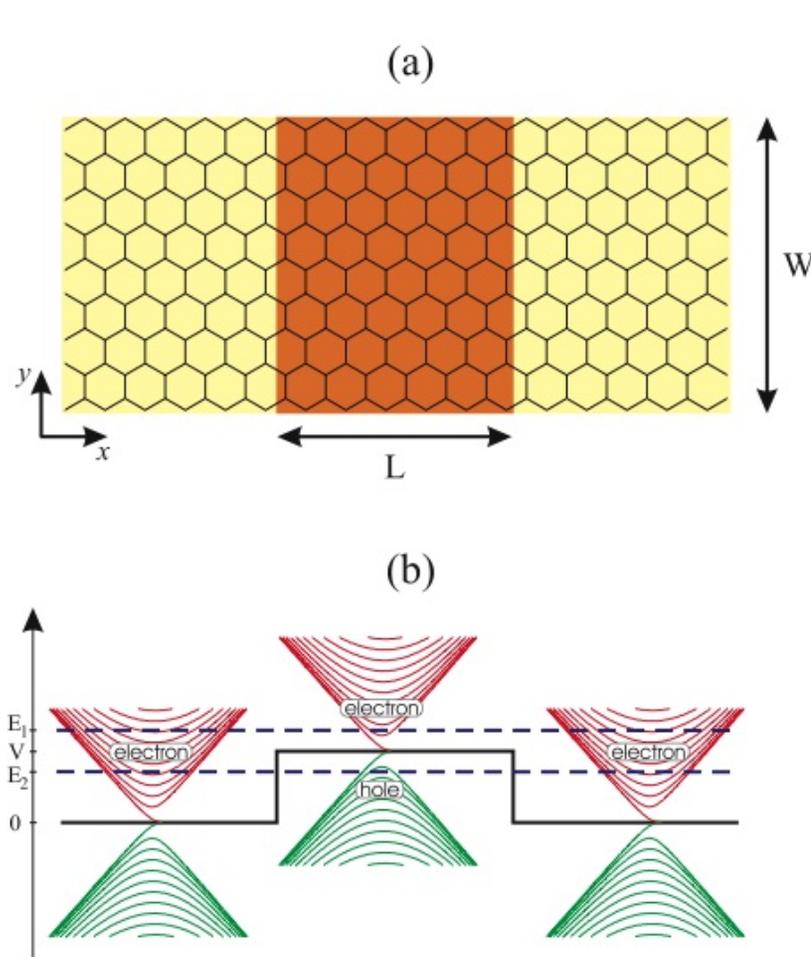
From 2D-GRAPHITE to GRAPHENE



**fundamental** physics and **technological** issues that involve **graphene**:

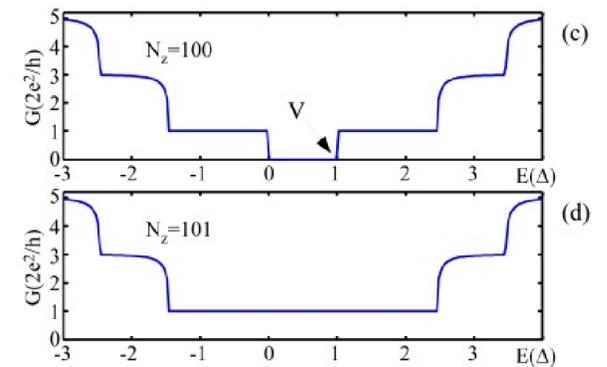
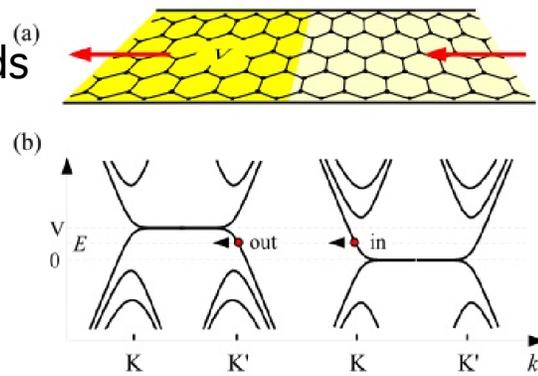
- Dirac electrons, Klein tunneling in a solid state system
- Half-integer quantum Hall effect, Berry phase
- Massive electrons in graphene multilayers
- High room temperature mobility
- Optical conductivity and optical transparency
- Screening effects and collective excitations
- Electronic and magnetic properties of **graphene ribbons**
- Gap opening .....

Variation of the potential energy across the ribbon for the injected electrons



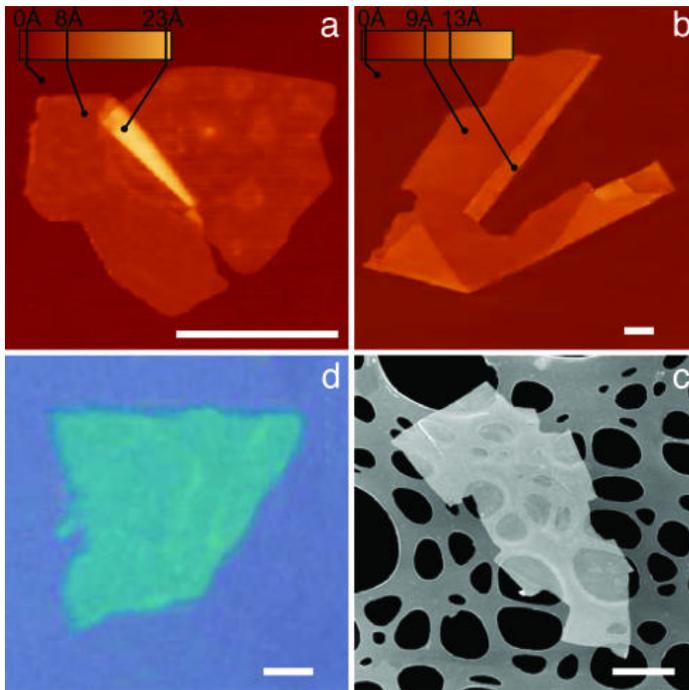
Current distribution  
In the presence of H

- (a, b) Scheme of the energy bands  
 (c) Differential conductance for  $N = 100$  carbon chains.  
 (d) Differential conductance for  $N = 101$  carbon chains.



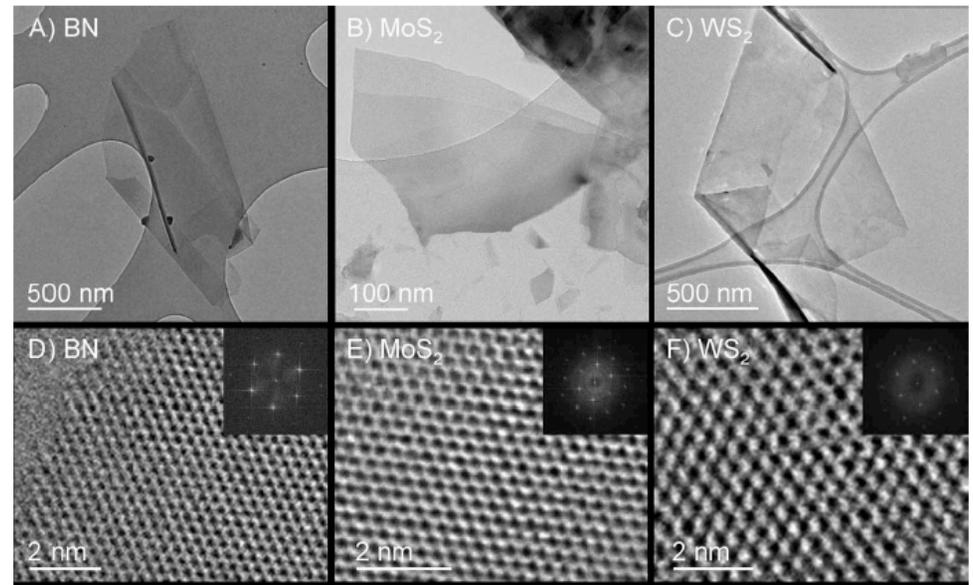
# Two dimensional nanosheets exfoliated from layered materials are just behind the corner

MoS<sub>2</sub>, WS<sub>2</sub>, MoSe<sub>2</sub>, MoTe<sub>2</sub>, TaSe<sub>2</sub> NbSe<sub>2</sub>,  
NiTe<sub>2</sub> BN, Bi<sub>2</sub>Te<sub>3</sub>, Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub>O<sub>x</sub>



AFM and SEM images of single-layer crystallites of NbSe<sub>2</sub> (a), graphite (b), Bi<sub>2</sub>Sr<sub>2</sub>CaCu<sub>2</sub>O<sub>x</sub> (c), MoS<sub>2</sub> (d)

K. S. Novoselov et al., Proc. Natl. Acad. Sci. U.S.A. 102, 10451 (2005)



TEM images of BN, MoS<sub>2</sub> and WS<sub>2</sub>

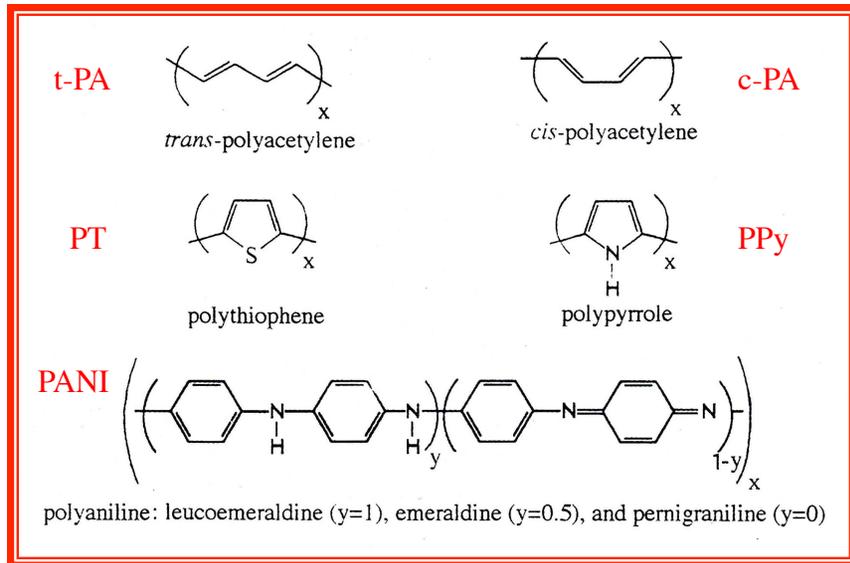
J. N. Coleman et al. Science 331,568 (2011)

Blended with suspensions of other nanomaterials or polymer solutions give rise to new hybrid materials with specific properties

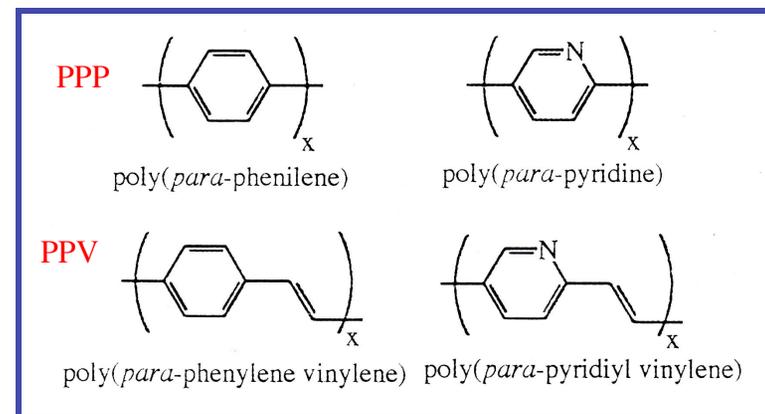
Organic crystals,  
conducting polymers,  
biological systems

# Conjugated Conducting Polymers (Electronic polymers)

- Electronic polymers are quasi-one dimensional **semiconductors** ( $E_G=1 \div 3$  eV) that can be transformed into **metals** upon **doping**
- The unique electronic properties of conjugated polymers derive from the presence of  $\pi$  - electrons with wavefunctions delocalized over long portions of the polymer chain when the molecular structure of the backbone is almost planar.
- The carbon atoms are not fourfold but **three-fold** coordinated



Most studied in the **conducting** state



Most studied in the **semiconducting** state

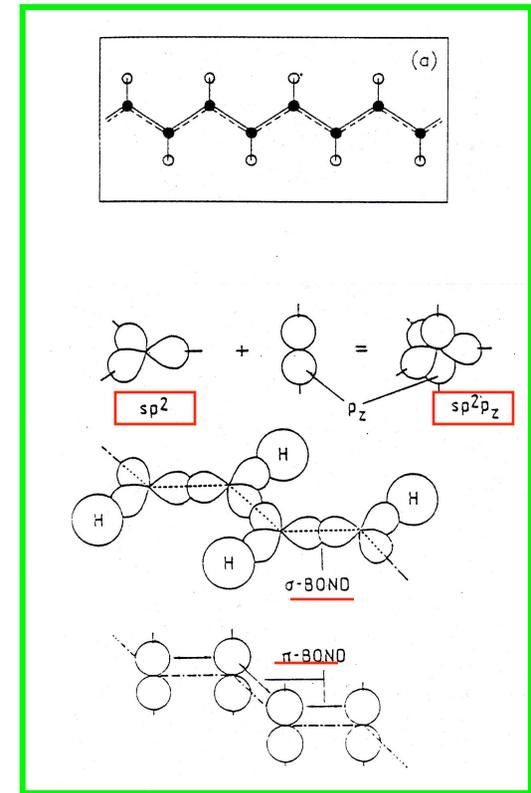
## $\pi$ bonds

Conjugated polymers have in common a succession of **conjugated** i.e. **alternate** single and double bonds with  $\sigma$  orbitals in the plane of the polymer and one  $\pi$  orbital per atom perpendicular to the plane; e.g trans-polyacetylene

## Doping

In conjugated polymers **doping means charge transfer:**  
**oxidation** (p-type doping)  
**reduction** (n-type doping)

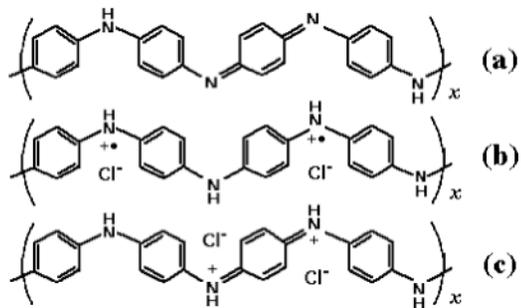
Insertion of counter-ions in the vicinity of the polymer



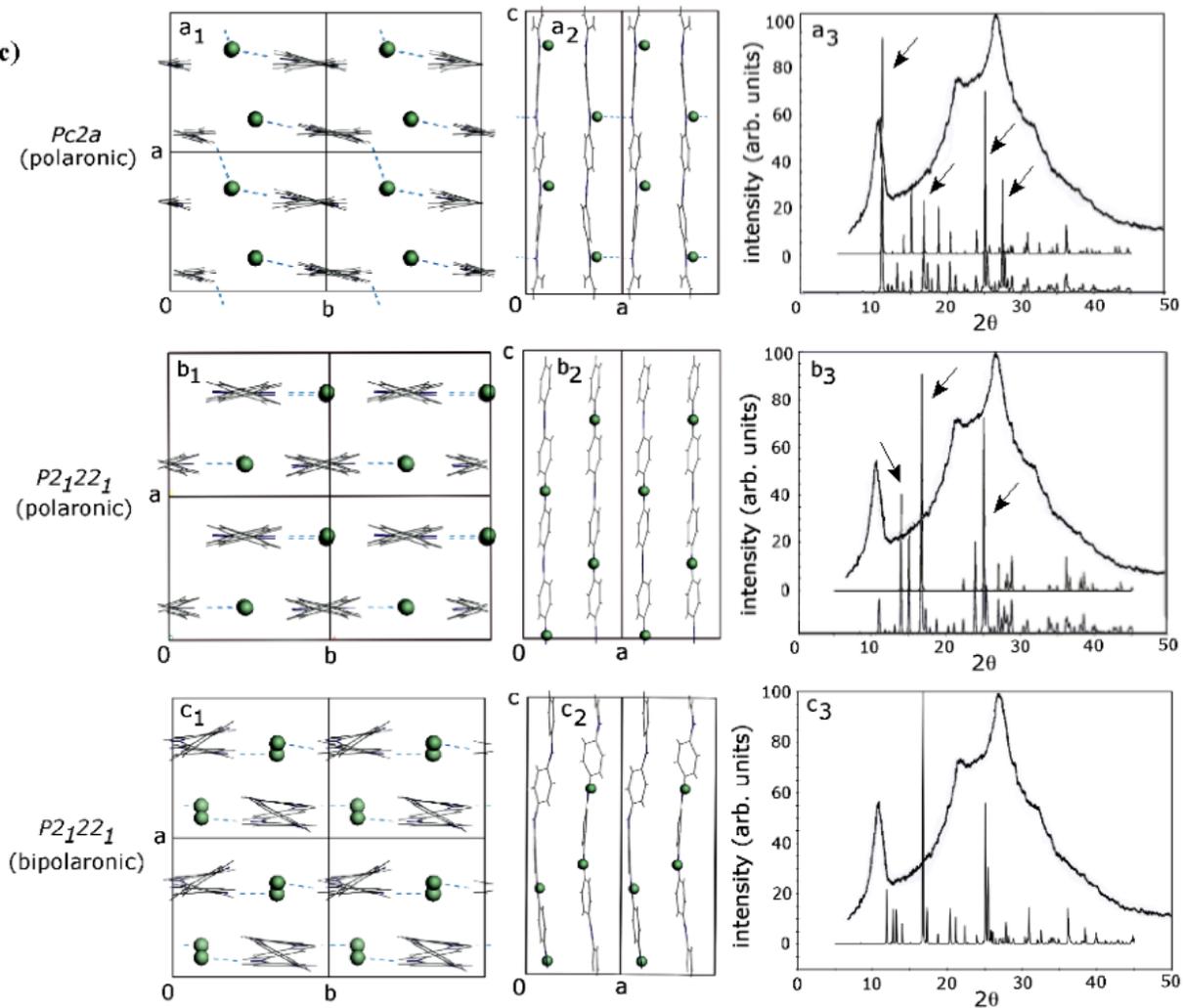
Heavily doped polymers are **conventional metals** (disappearance of the gap, Pauli-like susceptibility); however often **static conductivity decreases** decreasing temperature

## OPEN PROBLEMS

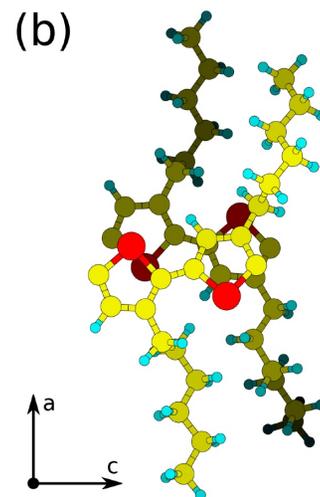
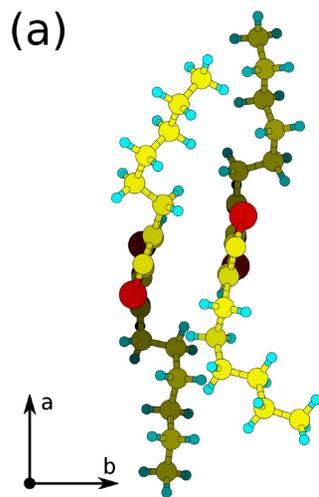
- Intrinsic **nature** of the metallic state and **mechanisms** of charge conduction
- central role of **disorder**, **localization** of states
- **anisotropy** and **dimensionality**



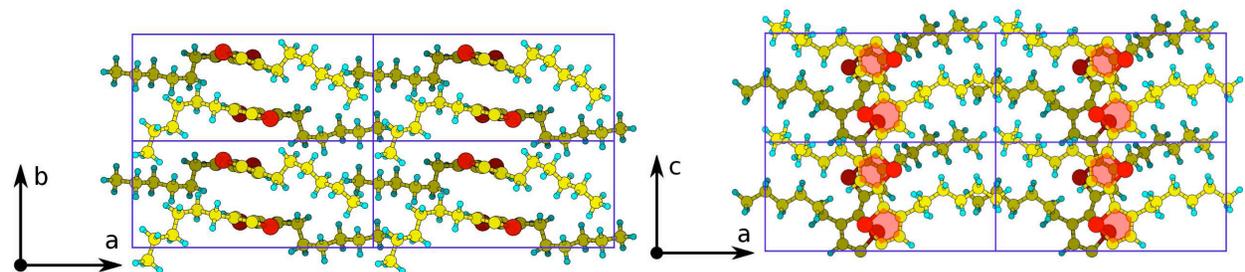
# HCl-doped Emeraldine: From insulator to conductor



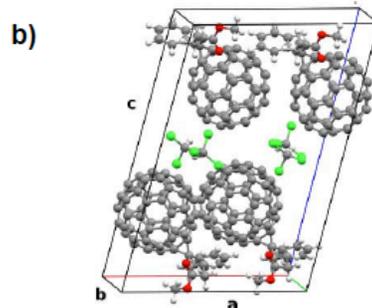
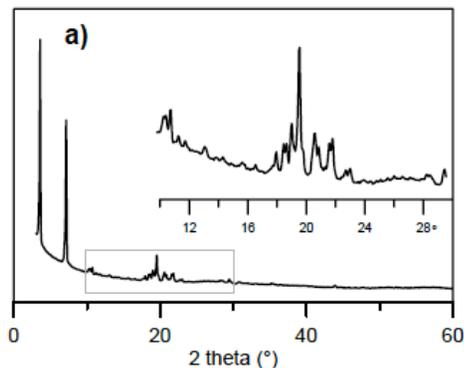
One important issue is the **morphology** of individual polymeric chains:  
Structural studies (XPS) have shown presence of **inhomogeneous disorder** with **nearly crystalline regions** within the polymer films and **regions where interchain correlations are very short range**



Geometrical arrangement and electronic band structure of **P3HT chains** in the orthorhombic primitive cell, as obtained from KS-DFT-vdW structural optimization

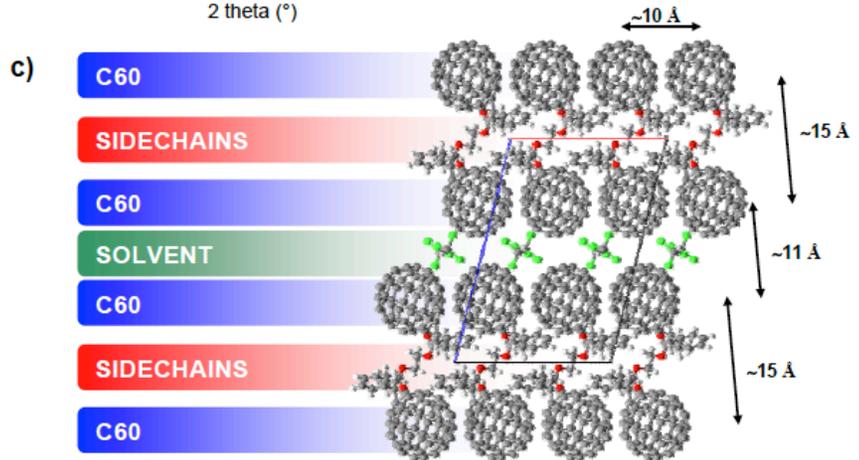


Packing of primitive cells of the crystalline rr-HT-P3HT polymer.



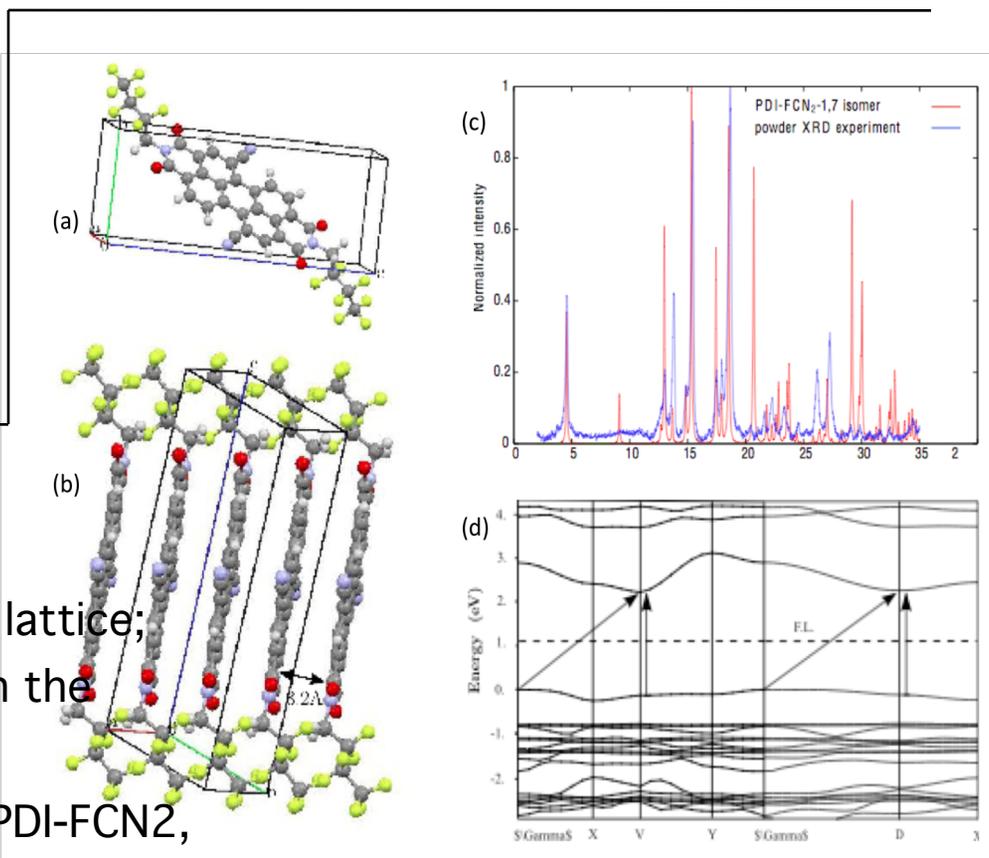
- a) XRD pattern of crystalline PCBM
- b) Monoclinic primitive cell obtained by modeling.
- c) Corresponding PCBM molecular packing.

## ORGANIC CRYSTALS for solar cells



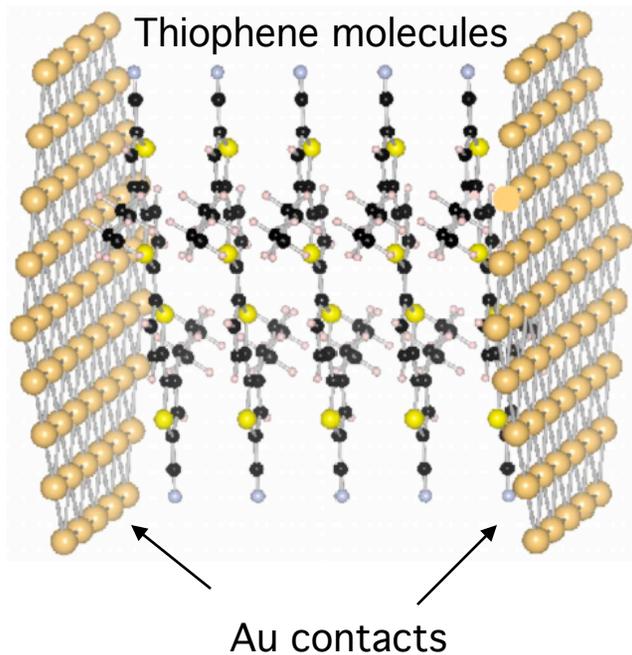
## ORGANIC CRYSTALS for Field Effect Transistors OFET

- (a) Primitive cell of the triclinic PDI-FCN2 lattice;
- (b) packing of the PDI-FCN2 molecules in the crystalline structures;
- (c) powder XRD spectrum of crystalline PDI-FCN2,
- (d) DFT band structure.

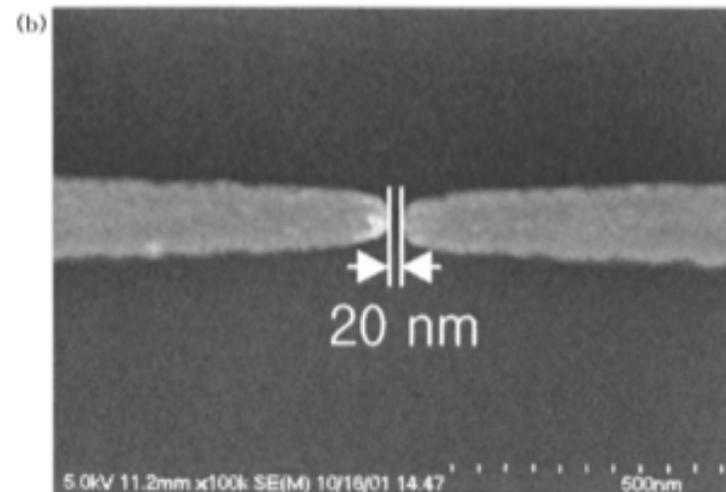
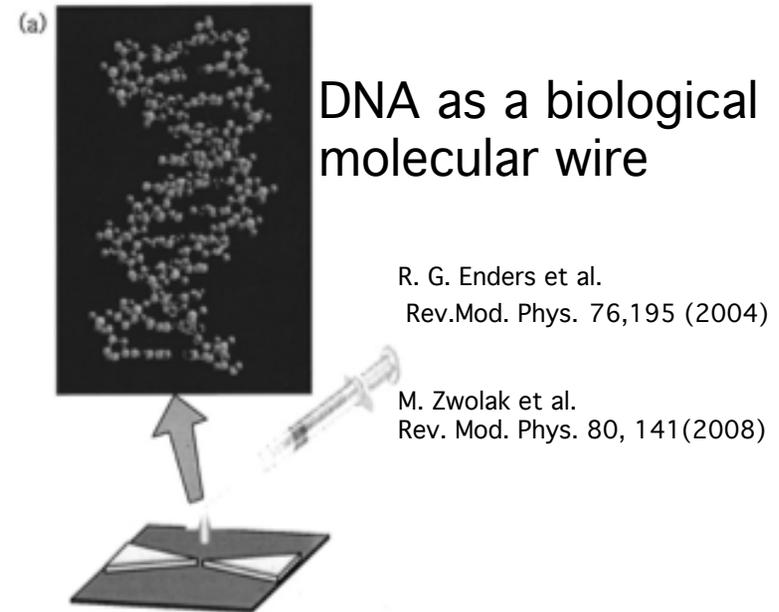


# From inorganic Quantum Wires to Conducting Polymers to Molecule - based electronics

Can a molecule act as an interconnect in a conducting Nanojunction? **Toward the ultimate limit of miniaturization**



K. Morawetz et al. PRB 79, 085405 (2009)

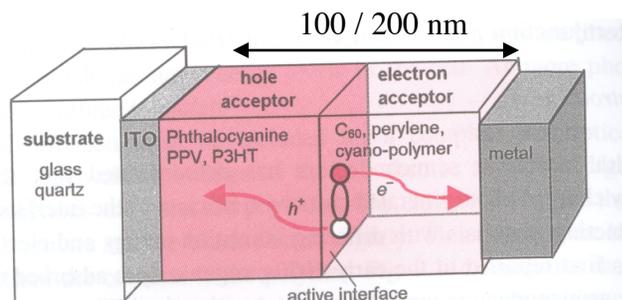


# Materials for solar energy conversion

# All- Organic Solar cells



Plastic solar cell. Solamer company - Univ. Chicago

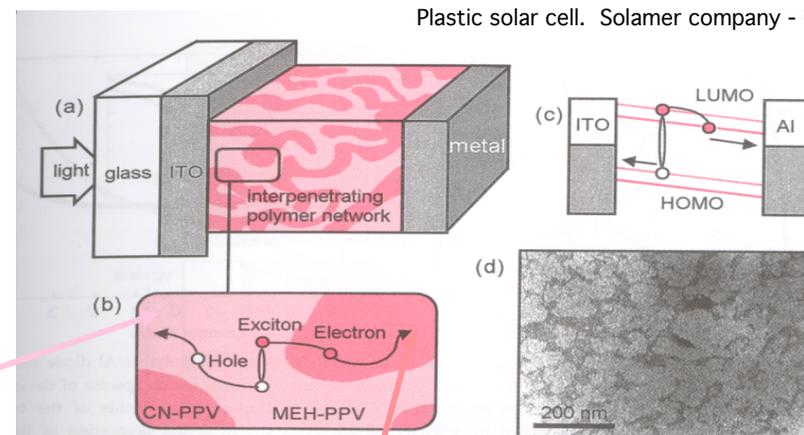


Organic Hetero  
-Junction n-p Cell

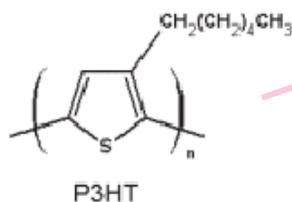
D. Uglietti, Univ. Geneva)

The Bulk Hetero  
-Junction

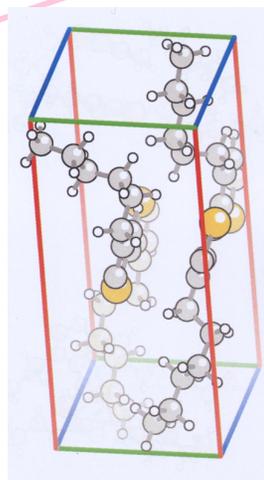
**Morphology must be optimised !**



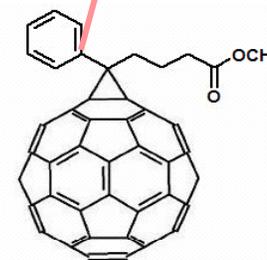
Example: The P3HT - PCBM system



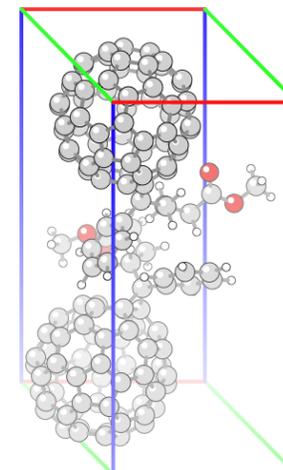
Conductive polymer  
electron donor  
Hole transport material



R. Colle et al., Phys. Stat. Sol. (2011)

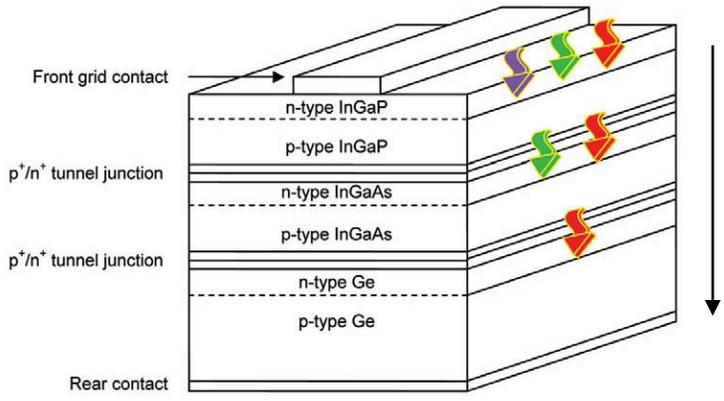


C<sub>60</sub> derivative  
electron acceptor  
Electron transport material





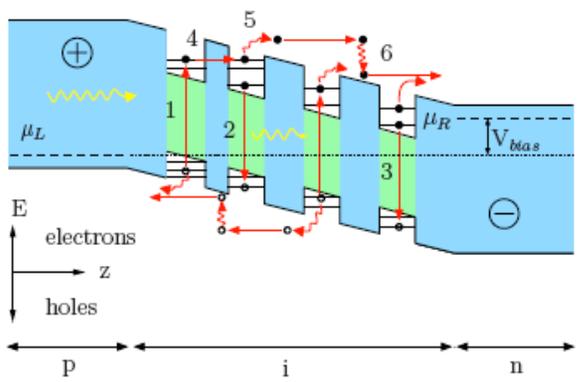
# Inorganic photovoltaics



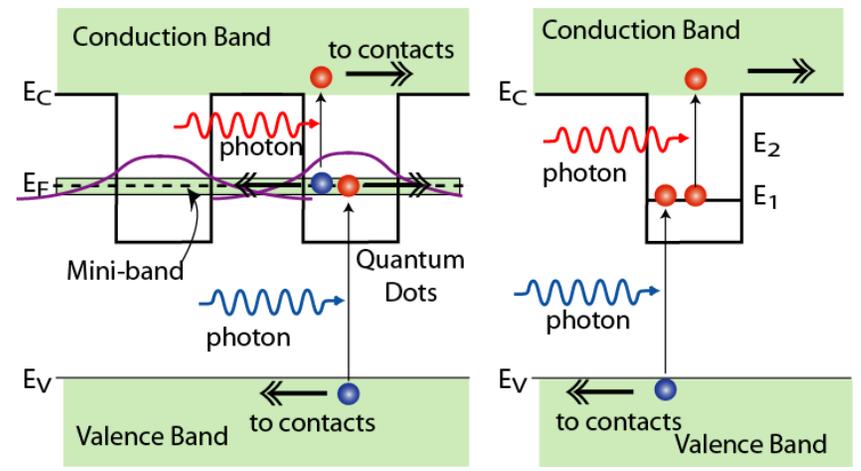
Tandem or multicolor cells:  
Schematic of a three band gap solar cell  
for photon selectivity

G. Conibeer, Materials today, 42,10 (2007)

## Third generation photovoltaics: Quantum wells, Quantum dots Solar cell



U. Aheberard et al., PRB 77,125343 (2008)



N. S. Lewis, Science, 315, 798 (2007)

- 1 - photogeneration
- 2 - rad. recombination
- 3 - non rad.recombination
- 4 - tunneling
- 5 - thermal escape
- 6 - relaxation by optical phonons

And more .....

NON CRYSTALLINE SYSTEMS

HIGH TEMPERATURE SUPERCONDUCTIVITY

SPINTRONICS

PHOTONICS

PLASMONICS

STRONGLY CORRELATED SYSTEMS

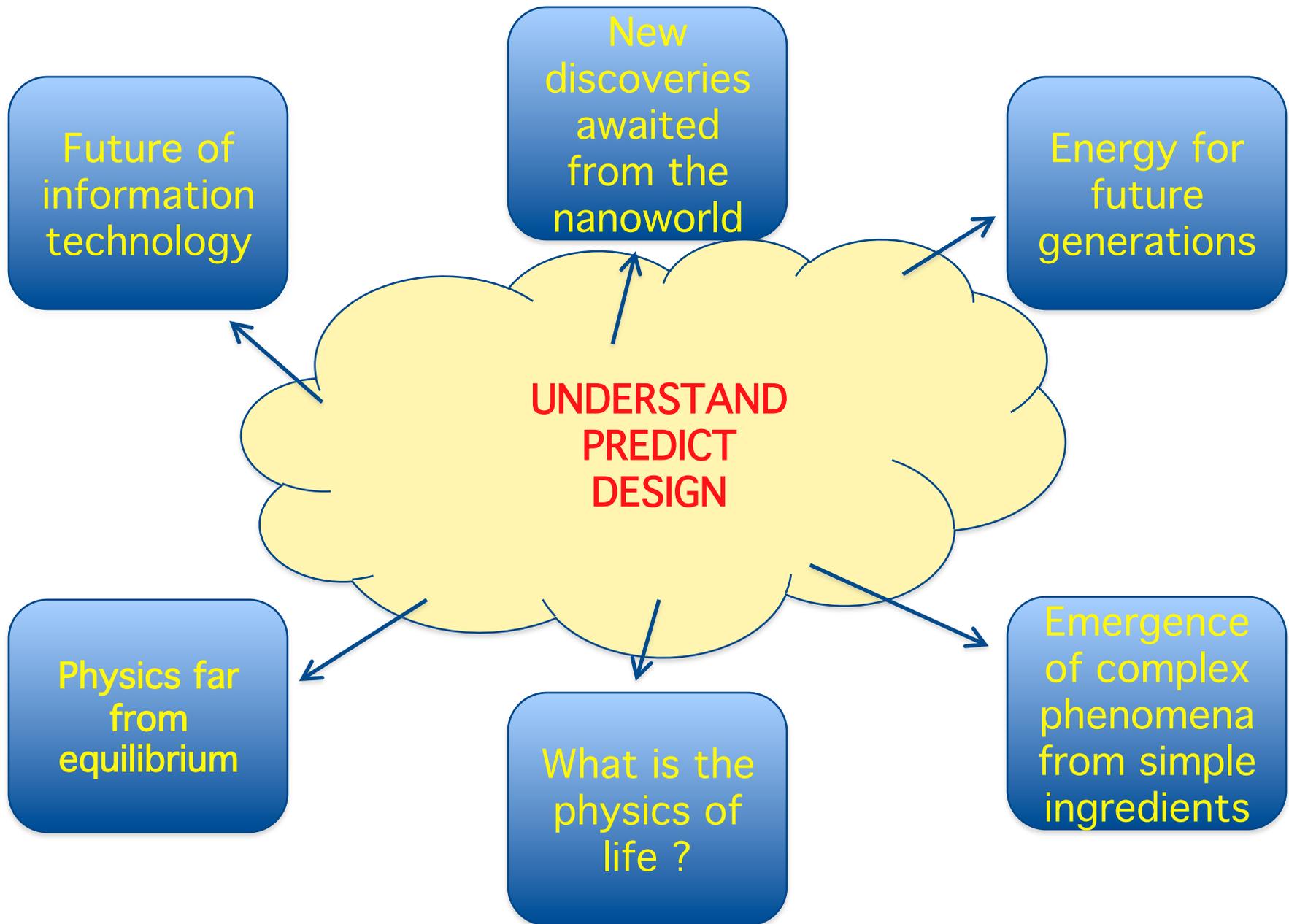
MAGNETISM IN NANOSTRUCTURES

THERMOELECTRICS

.....

.....

# Mission



**Predictions** for the next years is rather hard in the field of CMD. Theory and numerical simulations will take a large role in predicting and understanding basic and technological challenges .

There is no doubt that in the next future

- synthesis of new materials,
- more sophisticated experimental probes,
- emergence of new phenomena
- but also needs of the society in different areas as energy, health and security....

will drive theoreticians and experimentalists of CMD in a land where boundaries of traditional disciplines are intertwined.

## A final consideration:

*“The 20th century was a period of remarkable fundamental and technological progress in CMP. Continued federal and private investments led to considerable advances in the basic understanding of condensed-matter phenomena.*

*Years and often decades later, these advances led in turn to the invention of devices that now form the basis of much of our technological society, including the transistor, the integrated circuit, the laser, magnetic resonance imaging, liquid-crystal displays, and, more recently, high-efficiency solid-state lighting.*

*U.S. leadership in nurturing invention, from initial scientific discoveries to commercial technological products, has contributed significantly to this nation’s economic strength. In particular, the industrial development of many of these technologies has led to current U.S. leadership in computing and global communications.*

*Although the relationship is difficult to measure quantitatively, there is a consensus among economists that advances in technology have been the main driver of economic growth over the past 60 years.”*

Source: National Research Council  
of the National Academies